Tin Nanoparticles Encapsulated in Hollow TiO2 Spheres as High Performance Anode Materials for Li-Ion Batteries

Xiang Pan

University of Wisconsin-Milwaukee

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TIN NANOPARTICLES ENCAPSULATED IN HOLLOW TIO$_2$ SPHERES AS HIGH PERFORMANCE ANODE MATERIALS FOR LI-ION BATTERIES

by

Xiang Pan

A Thesis Submitted in Partial Fulfillment of the Requirements for the Degree of Master of Science in Engineering

at The University of Wisconsin-Milwaukee

August 2015
ABSTRACT
TIN NANOPARTICLES ENCAPSULATED IN HOLLOW TiO$_2$ SPHERES AS HIGH PERFORMANCE ANODE MATERIALS FOR LI-ION BATTERIES

by

Xiang Pan

The University of Wisconsin-Milwaukee, 2015
Under the Supervision of Professor Junhong Chen

Tin, an anode material with a high capacity for lithium-ion batteries, has poor cyclic performance because of the high volume expansion upon lithiation. Based on a literature review of the applications of lithium-ion batteries and current research progress of the tin-based anode materials for lithium-ion batteries, we developed a method to synthesize hollow TiO$_2$ spheres with tin nanoparticles anchored on the inner surface of the TiO$_2$ shell. Such a unique tin/TiO$_2$ composite alleviates the volume change of tin–based anode materials in charge-discharge processes. SnCl$_2$·2H$_2$O (Tin (II) chloride dihydrate) and titanium (IV) isopropoxide (TIPT) were used as the Sn source and the Ti source, respectively, while CaCO$_3$ was used as a template to fabricate the TiO$_2$ hollow shell. A variety of modern material testing methods (XRD, SEM, XPS, Raman, BET, etc.) and
electrochemical measurements such as galvanostatic charge-discharge and cyclic voltammetry (CV) testing were employed to systematically study effects of various synthesis parameters on the structure and battery performance of the as-prepared materials. We also discussed the key factors influencing the cycle performance of the composite electrode material and the related mechanism.
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LIST OF ABBREVIATIONS

CMC………..Carboxy Methylated Cellulose
CV…………..Cyclic Voltammetry
DI Water……..De-ionized water
EDS…………..Energy-dispersive X-ray Spectroscopy
EIS…………..Electrochemical Impedance Spectroscopy
FEC…………..Fluoroethylene Carbonate
LIB…………..Lithium-ion Battery
LTO…………..Lithium Titanium Oxide
MCMB…………Mesophase Carbon Micro Beads
NMP…………..N-Methyl-2-Pyrrolidone
NWs…………..Nanowires
PAA…………..Poly (Acrylic Acid)
PE……………..Polyethylene
PP……………..Polypropylene
SBR…………..Styrene-Butadiene Rubber
SEM…………..Scanning Electron Microscopy
TIPT…………..Titanium (IV) Isopropoxide
VC…………….Vinylene Carbonate
XRD…………..X-ray Diffraction
XPS…………..X-ray Photoelectron Spectrometer
ACKNOWLEDGEMENTS

I would like to thank my advisor, Professor Junhong Chen, for his support thoughout my graduation project. His encouragement is the power to let me go the distance. The weekly group meeting also inspired me to make greater progress.

I also want to express my gratitude to Dr. Xingkang Huang, who is the man who gave me a guiding hand on experiments and paper work. My work would not have been possible without his meticulous guidance. His rigorous academic attitude educated me on what is a researcher should be like.

My appreciation goes out to Professor Benjamin Church, Professor Nidal Abu-Zahra and other professors in the College of Engineering & Applied Science. You always give me pertinent advice when I was facing a tough choice.

I have to show my appreciation to senior students in Professor Chen’s group, like Guihua Zhou, Xiaoru Guo, et al. They helped me a lot in the lab and regarded me as a friend in the daily life. I would like to thank Miss Gwen Barker, who was working for Johnson Controls, for training me on many instruments used for lithium-ion battery fabrication and characterization. My deep gratitude goes out to Dr. Steven Hardcastle for training me on analytical instruments in the Advanced Analysis Facility, as well Professor Deyang Qu for allowing me to use the experimental apparatus in his lab, EMS 810.

Finally, I would love to dedicate the last sentence to my parents and my partner who give me unconditional support. I am willing to do everything I can to make you proud.
1. Background

It is imperative to solve the problem of energy shortages and the increasingly serious environmental pollution problems. Various high energy batteries will play a more important role in the future. The existing rechargeable batteries mainly include lead-acid batteries, nickel cadmium batteries, nickel metal hydride batteries, and lithium-ion (Li-ion) batteries (LIBs). The Li-ion batteries attract tremendous attention because of the advantages of high energy density, high average output voltage, low self-discharge, broad operating temperature range, no memory effect, a long cycle life, and less pollution compared with lead-acid batteries. Since the birth of the LIB prototype, researchers have never stopped improving the performance of LIBs. Along with the advancement of technology, the performance of LIBs has been significantly enhanced. Now LIBs have been widely used in mobile phones, notebook computers, and portable electronic devices.

1.1 Lithium-ion Battery (LIB)

Lithium-ion batteries that have high energy density in all kinds of green secondary batteries, have been widely used in notebook computers, mobile phones, and other consumer electronics products. With the rapid development of wireless communications products, electric cars, and electric tools, an urgent need has been developed to improve the energy density, power density and life performance of lithium-ion battery electrode materials.
1.2 Principle of lithium-ion batteries

![Cylindrical lithium-ion battery](image)

Figure 1 Internal structure of cylindrical lithium-ion battery (Reprinted courtesy of HowStuffWorks.com)

The LIB is one of the rechargeable secondary batteries in which Li$^+$ can be inserted into and extracted from cathode and anode materials. The internal structure of a cylindrical LIB is shown in Figure 1. Actually it is a concentration cell, with embedded lithium compounds always used as a cathode, such as LiCoO$_2$ and LiMn$_2$O$_4$. Graphite is commonly used as an anode electrode, and organic solvents with lithium salt (LiFP$_6$, LiAsF$_6$) are common for the electrolyte. Both electrodes allow lithium ions to move in and out of their interiors. During insertion (or intercalation) lithium ions move into the electrode. During the reverse process, lithium ions move back out. When a lithium-ion based cell is discharging, the positive lithium ion moves from the negative electrode and enters the positive electrode. The sketches of the charge/discharge processes are shown in Figure 2.
The following equations show one example of the chemistry:

The positive electrode half-reaction is:

\[
\text{LiCoO}_2 \leftrightarrow \text{Li}_{1-x}\text{CoO}_2 + x\text{Li}^{+} + xe^{-} \quad (1)
\]

The negative electrode half reaction is:

\[
x\text{Li}^{+} + xe^{-} + x\text{C}_6 \leftrightarrow x\text{LiC}_6 \quad (2)
\]

The overall reaction has its limits. Over discharge supersaturates lithium cobalt oxide, leading to the production of lithium oxide \([2]\) possibly by the following irreversible reaction:

\[
\text{Li}^{+} + e^{-} + \text{LiCoO}_2 \leftrightarrow \text{Li}_2\text{O} + \text{CoO} \quad (3)
\]

### 1.3 Tin (Sn) based materials for LIBs

Sn and Li can form Li\(_{4.4}\) Sn alloy, whose high theoretical specific capacity (993.4 mAh g\(^{-1}\))\([36]\) has attracted widespread attention. However, a Li-Sn alloy is accompanied by huge volume expansion, so the cycle performance is unsatisfying, limiting its practical application.
2 Literature Review

At present, lithium-ion battery anode materials generally use carbon materials, such as graphite, hard carbon, etc. Other than carbon anode materials, nitrides, lithium-ion oxides, transition metal oxide, silicon materials, tin base material and some other new alloy materials are also used. Some main parameters of various anode materials are given in Table 1. Potential vs. Li/Li$^+$ and the corresponding capacity density of some potential anode active materials are displayed in Figure 3. Nanoscale materials, because of their unique performance, also have received widespread attention in the studies of anode materials.

Table 1 Comparison of the theoretical specific capacity, charge density, volume change and onset potential of various anode materials.

<table>
<thead>
<tr>
<th>Materials</th>
<th>Li</th>
<th>C</th>
<th>Li$_2$Ti$<em>5$O$</em>{12}$</th>
<th>Si</th>
<th>Sn</th>
<th>Sb</th>
<th>Al</th>
<th>Mg</th>
<th>Bi</th>
</tr>
</thead>
<tbody>
<tr>
<td>Density (g cm$^{-3}$)</td>
<td>0.53</td>
<td>2.25</td>
<td>3.5</td>
<td>2.33</td>
<td>7.29</td>
<td>6.7</td>
<td>2.7</td>
<td>1.3</td>
<td>9.78</td>
</tr>
<tr>
<td>Lithiated phase</td>
<td>Li</td>
<td>LiC$_6$</td>
<td>Li$_2$Ti$<em>5$O$</em>{12}$</td>
<td>Li$_{14.4}$Si</td>
<td>Li$_{14.4}$Sn</td>
<td>Li$_3$Sn</td>
<td>Li$_3$Al</td>
<td>Li$_3$Mg</td>
<td>Li$_3$Bi</td>
</tr>
<tr>
<td>Theoretical specific capacity (mAh g$^{-1}$)</td>
<td>3862</td>
<td>372</td>
<td>175</td>
<td>4200</td>
<td>994</td>
<td>660</td>
<td>993</td>
<td>3350</td>
<td>385</td>
</tr>
<tr>
<td>Theoretical charge density (mAh cm$^{-3}$)</td>
<td>2047</td>
<td>837</td>
<td>613</td>
<td>9786</td>
<td>7246</td>
<td>4422</td>
<td>2681</td>
<td>4355</td>
<td>3765</td>
</tr>
<tr>
<td>Volume change (%)</td>
<td>100</td>
<td>12</td>
<td>1</td>
<td>320</td>
<td>260</td>
<td>200</td>
<td>96</td>
<td>100</td>
<td>215</td>
</tr>
<tr>
<td>Potential vs. Li (V)</td>
<td>0</td>
<td>0.05</td>
<td>1.6</td>
<td>0.4</td>
<td>0.6</td>
<td>0.9</td>
<td>0.3</td>
<td>0.1</td>
<td>0.8</td>
</tr>
</tbody>
</table>
Figure 3 Schematic illustration of active anode materials for the next generation of lithium batteries. Potential vs. Li/Li' and the corresponding capacity density are shown.

2.1 Carbon anode materials

A carbon anode of lithium-ion batteries in terms of safety and cycle life shows better performance, and carbon materials are cheap and non-toxic; so they are widely used in commercial lithium-ion battery anode materials. Commercially developed lithium-ion battery anode materials mainly include graphite, petroleum coke, carbon fiber, pyrolysis, Mesophase Carbon Micro Beads (MCMB), carbon black, carbon glass, etc. Carbon anode materials are divided into graphite and amorphous carbon materials; they are all made of graphite crystallite, but their crystallinity is different; some other structural parameters are different also. So, their chemical and physical properties and electrochemical properties show different features.

Graphite is definitely the most used anode\textsuperscript{[3-6]} owing to its excellent features, such as flat and low working potential vs. lithium, low cost and good cycle life. However, graphite allows the intercalation of only one Li-ion with six carbon atoms, with a
resulting stoichiometry of LiC₆ and thus an equivalent reversible capacity of 372 mAh g⁻¹ [⁷]. It also is sensitive to the composition of the electrolyte, and organic solvent compatible ability is poor; only a small number of electrolyte has good electrochemical performance, and the resistance to overcharge ability is poor. During the process of charging and discharging, solvent molecules may be embedded into the graphite, which easily ruins the graphite structure. In addition, the diffusion coefficient of lithium ions in the graphite is small.

Amorphous carbon has high capacity and the discharge performance under large current is good, with a de-intercalation capacity up to more than 900 mAh g⁻¹. But high first irreversible capacity and voltage hysteresis limits the performance of amorphous carbon materials. In addition, the cycle performance is not ideal, with reversible capacity dropping rapidly upon cycling. Both the graphite and amorphous carbon material have their advantages and disadvantages; they have been investigated extensively to improve their electrochemical performance.

2.2 Silicon (Si) based anode materials

Silicon has both the highest gravimetric capacity (4,200 mAh g⁻¹, Li₂₂Si₅) and volumetric capacity (9,786 mAh cm⁻³) among the anode material candidates [⁸-¹²].

In addition, the discharge (lithiation) potential of silicon is a little higher than that of graphite, 0.4 V vs. Li⁺/Li. Therefore, it has less chance to develop dendrite on the Si anode, compared with the graphite anodes during the process of charging and discharging, which means a better safety performance. The electrochemical lithiation
of Si electrodes has been deeply investigated by many groups. It has been clarified that the high specific capacity value is due to the formation of intermetallic Li–Si binary compounds such as $\text{Li}_{12}\text{Si}_7$, $\text{Li}_7\text{Si}_3$, $\text{Li}_{13}\text{Si}_4$, $\text{Li}_{22}\text{Si}_5^{[7]}$. However, the main drawback of silicon-based anode materials is the poor cycle stability, because of the large volume change ($\sim 400\%$) in alloying and dealloying, resulting in pulverization and spalling of electrode materials.

To overcome these problems, nanowires, nanotubes and nanospheres were considered due to their capability of providing the necessary free volume for accommodating the Si expansion during the alloying/de-alloying process. In particular, Si nanowires (Si NWs) and nanotubes have shown a reversible capacity over 2,000 mAh g$^{-1}$ with good cycling stability.$^{[7]}$

SiO is considered an alternative choice to silicon as an anode candidate, although its theoretical capacity ($>1,600$ mAh g$^{-1}$) is lower than that of Si. In addition, lithium oxygen co-ordination implies minimal volume change and, at the same time, lower activation energy$^{[13-16]}$. The mechanism can be expressed as follows:

$$\text{xLi + SiO} \leftrightarrow \text{Li}_{\text{x}}\text{Si}_{\text{y}} + \text{Li}_{\text{x}}\text{SiO}_{\text{z}}$$

(4)

The effects of both the oxygen concentration and the particle size on the cycling life and on the reversible capacity of SiO$_x$ were studied$^{[17]}$. Different oxygen concentrations of SiO, such as SiO$_{0.8}$, SiO and SiO$_{1.1}$, along with the particle size, such as 30 and 50 nm, were prepared. It was observed that SiO$_{0.8}$ with 50 nm particle size shows a high capacity of 1,700 mAh g$^{-1}$ at initial cycles, but along with large capacity falling over cycling$^{[17]}$. However, SiO$_{1.1}$ can maintain a stable capacity over cycling of 750 mAh g$^{-1}$. The conclusion was that lower oxygen contents in SiO$_x$ indicate higher specific
capacity but also cause poorer cycling life. In addition, 30 nm SiO$_x$ particle size showed better capacity retention with high reversible capacity compared with larger particles.

### 2.3 Nitrides

Nitrides as lithium-ion battery anode materials have been researched mainly because of the high conductivity of Li$_3$N. The product by the reaction of Li$_3$N and transition metal is lithium transition metal nitrides. It basically has two kinds of structure: anti-fluorite structure and Li$_3$N structure, both have very good ionic conductivity, electronic conductivity and chemical stability. The electrochemical performance and the lithium insertion/removal capacity of titanium-based oxides mainly depend on the different kinds of materials. Gillot et al. prepared Ni$_3$N by various synthetic routes, such as ammonolysis of nickel salts, or nickel particles, and thermal decomposition of nickel-amide in the presence of ammonia $^{[29]}$. Ni$_3$N from nickel-amide showed the best electrochemical performances, with the largest capacity (1,200 mAh g$^{-1}$)$^{[7]}$.

### 2.4 Spinel Li$_4$Ti$_5$O$_{12}$ (LTO)

LTO has generated significant interest for researchers because of the excellent Li-ion reversibility at the high operating potential of 1.55 V (vs .Li/Li$^+$). The high operating potential guarantees safety conditions; in fact, the formation of the solid electrolyte interphase (SEI) is mitigated and the development of dendrites, a typical issue in carbon-based anodes, is avoided$^{[30]}$. But the low specific capacity limits the application of LTO materials. Researchers have considered that enhancing the electronic
conductivity of LTO by surface treatments [31-32], and enhancing the Li-ion diffusion by downsizing the LTO to the nanoscale [7].

2.5 Tin based anode materials

Tin has reversible alloying and dealloying reaction with lithium, forming and decomposing the alloy phase Li₃Sn₇, including LiSn, Li₂Sn₅, Li₇Sn₃, Li₅Sn₂, Li₇Sn₂, Li₁₃Sn₅ and Li₂₂Sn₅. LiSn and Li₂Sn₅ are layered crystal structures, while others can hardly form a long-range order crystal structure [18].

As a lithium metal alloy anode material, Sn has received widespread attention once proposed. Its main advantage is high specific capacity (993 mAh g⁻¹), which is twice that of the graphite. However, like other metal alloy anode materials, a huge volume change accompanies the alloying and de-alloying process, which leads to stress and pulverization of active materials, finally resulting in a poor cycle performance.

Tin oxide was first developed by Fuji Photo film corporation [7] and it received significant attention as an anode for Li-ion batteries due to the high theoretical capacity and low work potential, i.e., 0.6 V vs. Li/Li⁺[19-22]. The electrochemical lithium alloying reactions can be summarized in a first partially irreversible step, where SnO₂ is reduced into Sn and lithium oxides (SnO₂+4Li↔Sn+2Li₂O), which is followed by the reversible Sn–lithium alloying/de-alloying reaction (Sn+4.4Li⁺↔Li₄.₄Sn). This overall electrochemical process involves 8.4 Li for one SnO₂ formula unit [7]. The corresponding theoretical capacity is 1,491 mAh g⁻¹ but it is reduced to 783 mAh g⁻¹ when the second highly reversible step is reached. Hence, 783 mAh g⁻¹ is commonly
considered as the actual theoretical capacity. However, it has the same problem as other Sn-based materials; volume change will crash the structure, resulting in a quick capacity decay upon cycling.

Therefore, the main issue to be addressed is how to reduce the effect of volume expansion and improve the cycle performance. Porous nanostructures, nanocomposites, and hollow nanostructured Sn/SnO$_2$ have been proposed to overcome the above specified issues \cite{23-25}. Porosity in nanostructured SnO$_2$ is capable of balancing the volume changes during the lithium insertion/de-insertion. These pores act as a buffer for the large volume changes. Yin et al. prepared SnO$_2$ mesoporous spheres in the range of 100–300 nm from tin sulphate, by a cost-effective and easy solution method. These prepared SnO$_2$ spheres delivered capacities of 761 and retained 480 mAh g$^{-1}$ after 50 charge–discharge cycles at current densities of 200 mA g$^{-1}$ and 2,000 mA g$^{-1}$, respectively \cite{26}.

SnO$_2$/C were also proposed considering the wide use of carbon in LIBs. Carbon-free and carbon-coated SnO$_2$ hollow microspheres were prepared through a cost-effective hydrothermal route where glucose was used as a carbon source \cite{25}. The results showed better performance for the carbon-coated SnO$_2$, in terms of storage capacity, Coulombic efficiency and cycling life \cite{7}.

More and more researchers are now paying close attention to some specific Sn -based composite materials. Zhang et al. \cite{27} prepared hollow carbon spheres to supply the shell for Sn nanoparticles, with a synthetic scheme presented in Figure 4. This work improved the cycle performance (800 mAh g$^{-1}$ in the initial 10 cycles, and 550 mAh g$^{-1}$
Liao et al. [28] prepared three-dimensional mesoporous TiO₂-Sn/C core-shell nanowire arrays, where Sn formed by a reduction of SnO₂ was encapsulated into TiO₂ nanowires with carbon coating (Figure 5). The resulting TiO₂-Sn/C nanowire arrays displayed rechargeable discharge capacities of 769, 663, 365, 193, and 90 mA h g⁻¹ at 0.1 C, 0.5 C, 2 C 10 C, and 30 C, respectively (1 C = 335 mA g⁻¹). The TiO₂-Sn/C nanowire arrays exhibit a capacity retention rate of 84.8% with a discharge capacity of over 160 mAh g⁻¹, even after 100 cycles at a high current rate of 10 C [28].

In order to overcome the issues of Sn-based materials mentioned above, particularly results from Zhang et al. [27] and Liao et al. [28], we propose a cost-effective and simple method to prepare core-shell Sn/Ti anode materials that show better electrochemical
performance than simple Sn/Ti mixture materials and porous Sn materials.

3. Experimental

3.1. Materials

Materials used for the experiments are listed in Table 2.

<table>
<thead>
<tr>
<th>Materials</th>
<th>Vendor</th>
<th>Chemical formula</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tin(II) chloride dihydrate</td>
<td>Alfa Aesar</td>
<td>SnCl₂·2H₂O</td>
</tr>
<tr>
<td>Sodium Carbonate</td>
<td>VWR</td>
<td>Na₂CO₃</td>
</tr>
<tr>
<td>Calcium chloride dihydrate</td>
<td>Alfa Aesar</td>
<td>CaCl₂·2H₂O</td>
</tr>
<tr>
<td>Titanium(IV) isopropoxide</td>
<td>Alfa Aesar</td>
<td>C₁₂H₂₈O₄Ti</td>
</tr>
<tr>
<td>Poly(acrylic acid)</td>
<td>Alfa Aesar</td>
<td>[-CH₂CH(CO₂H)-]</td>
</tr>
<tr>
<td>Separator</td>
<td>Celgard</td>
<td>PP/PE/PP</td>
</tr>
<tr>
<td>CMC</td>
<td></td>
<td>Carboxy Methylated Cellulose</td>
</tr>
<tr>
<td>SBR</td>
<td></td>
<td>Styrene-butadiene rubber</td>
</tr>
<tr>
<td>Electrolyte</td>
<td></td>
<td>EC/EMC+5%FEC+1%VC</td>
</tr>
<tr>
<td>Carbon black</td>
<td></td>
<td>C</td>
</tr>
</tbody>
</table>

3.2 Synthesis of Materials

A certain amount of SnCl₂·2H₂O, NaCO₃ and CaCl₂·2H₂O was dissolved in de-ionized (DI) water to make the concentrations as 0.025, 0.5, 0.3 mol L⁻¹, respectively.

In order to compare the difference ratio of the Sn and Ca effect on the performance of the electrode materials, several control samples were prepared. The concentration of SnCl₂·2H₂O of these controls were 0.025, 0.05 and 0.06 mol L⁻¹, ceteris paribus. And the concentration of CaCl₂·2H₂O were 0.3 and 0.36 mol L⁻¹, all other variables were same. The SnCl₂ and the NaCO₃ solutions were mixed while stirring by a magnetic
stirring bar for 5 min at 300 rpm, followed by adding the CaCl\textsubscript{2} solution while stirring at 300 rpm for 20 minutes, resulting in a white suspension. According to the reference \[36\], chemical equations of the above process are shown in (5) & (6).

\[
6\text{SnCl}_2 + 6\text{Na}_2\text{CO}_3 + 2\text{H}_2\text{O} \rightarrow \text{Sn}_6\text{O}_4(\text{OH})_4 + 12\text{NaCl} + 6\text{CO}_2 \uparrow \quad (5)
\]

\[
\text{Na}_2\text{CO}_3 + \text{CaCl}_2 \rightarrow \text{CaCO}_3 + 2\text{NaCl} \quad (6)
\]

After filtration, the resulting white precipitate was dried at 80 °C for 4 h under vacuum. The vacuum filter flask is shown in Figure 6.

With gentle grinding, the fine powder was transferred into a flask, containing 10 ml ethyl alcohol. In order to compare the different ratio of the Ti effect on the structure and performance of the materials, various amounts of titanium isopropoxide (TIPT) were prepared to make controls. The molar quantities of controls are 0.0028, 0.0035 and 0.0042 mol, ceteris paribus, which will be detailed later. Weighted TIPT was slowly dropped into the flask.

To make the hydrolysis homogenous, we premade the alcohol at a volume ratio of
(ethyl alcohol: DI water) 4.5ml: 0.5ml, 2ml: 0.5ml and 0.5ml: 0.5ml, then added the alcoholic solution into the flask dropwise, followed by adding 5 ml DI water and stirring at 300 rpm for 10 min to hydrolyze completely. The turbid liquid became a faint yellow. The stirring process was shown in Figure 5(b). The chemical equation of the above process was shown in (7).

$$\text{Ti\{OCH(CH}_3\}_2\}_4 + 2 \text{H}_2\text{O} \rightarrow \text{TiO}_2 + 4 \text{(CH}_3\}_2\text{CHOH} \quad \text{(7)}$$

A light yellow paste product was obtained after filtration and was dried under vacuum at 80°C for 4 h. The resulting powders were observed by SEM, exhibiting a shell-core structure, as indicated by the crushed particles (Figure 7).

Figure 7 SEM images of prepared samples before removing the CaCO$_3$, which appeared from split shell.

Figure 8(a). Tube furnace (Thermo Scientific Lindberg/Blue M™ Vacuum Ovens). (b). Samples became white powder after calcination.
A crucible with powder was put into the tube furnace, as shown in Figure 8(a), and heated at 500 °C for 20 min for crystallization. The white powder in Figure 8(b) is the product after heat treatment.

The resulting white powder was then put into 100 ml DI water and stirred at 300 rpm and then dropped in dilute hydrochloric acid to remove the CaCO₃. Additional stirring was applied for 20 min to ensure the complete reaction.

A vacuum filter flask was used to filter the turbid liquid, followed by rinsing using DI water until the acid was completely gone.

A vacuum filter flask was used to filter the turbid liquid, followed by rinsing using DI water until the acid was completely gone.

After drying by vacuum oven at 80 °C for 4 h, the sample was ground into powders. The powders with the crucible were then put into the vacuum tube oven shown in Figure 9(a), to allow SnO₂ to be reduced to Sn under a hydrogen atmosphere at 500 °C for 1 h. The final product in Figure 9(b) was sealed in a glass bottle.

### 3.3. Battery assembly

The active material, carbon black, and binder were mixed by a mass ratio of 8:1:1. In order to compare the different binder effects of the performance of material, two
kinds of water-based binder (CMC-SBR and PAA) were used. The mixture was uniformly ground with an appropriate viscosity and coated on the cleaned Cu foil (Figure 10).

The slurry-coated copper foil was dried in a vacuum oven at 80 °C for 12 h, followed by being bunched into electrode slices that had a diameter of 7/16 in, and then pressed at 4 T. A tablet press shown in Figure 10(c) was used for tableting the slices for assembly.
Batteries were assembled in a glove box (Figure 12(a)) that was filled with argon and crimped by a HS-HCR2 manual coin crimper presented in Figure 12(b). Oxygen and moisture contents were less than 0.5 and 0.1 ppm, respectively. A Lithium disk was used as the counter electrode. 5% Fluoroethylene Carbonate (FEC) and 1% Vinylene
Carbonate (VC) were used as electrolyte additives. A schematic illustration of assembling is shown in Figure 11.

### 3.4. Material Characterization

1. **Phase analysis**

   The phase of sample was analyzed by X-Ray Diffraction (XRD) (Bruker D8 Discover), which is presented in Figure 13(a), using Cu-Kα as a radiation source from 10° to 70° with a step size of 0.02°.

2. **Morphology and composition analysis**

   The morphology of samples was observed by a scanning electron microscope (SEM) at an acceleration voltage of 10 kV. Energy-dispersive X-ray spectroscopy (EDS) was used to characterize the elemental composition. SEM was carried out with a Hitachi S-4800 electron microscope.

3. **The structures were analyzed by Raman spectroscopy (Renishaw 1000B) (Figure 13(d)).**

4. **The surface chemical composition was characterized by using XPS (Perkin Elmer 5440 X-Ray Photoelectron Spectrometer) that is shown in Figure 13(e). The binding energy of C 1s equal to 284.8 eV as a benchmark for the binding energy correction.**

5. **Surface area and pore size of powders measured by BET (Micromiritics ASAP 2020) are shown in Figure 13(c).**

6. **Cyclic voltammetry (CV) and electrochemical impedance spectroscopy (EIS) of the as-prepared anodes were measured on a PARSTAT 4000 electrochemical station by**
using a three-electrode cell, with the Sn/C composite electrode as a working electrode, a Lithium disk as a counter electrode, and a Lithium ring as a reference electrode. CV was carried out at a scanning rate of 0.05 mV s\(^{-1}\) while EIS was tested between 10,000-0.1 Hz with an amplitude of 10 mV.

Figure 13(a). Bruker X-Ray Diffraction (b). Land battery testing system. (c). Micromiritics ASAP 2020 BET measurement. (d). Raman spectroscopy (Renishaw 1000B). (e). Perkin Elemer 5440 X-Ray Photoelectron Spectrometer.
3.5 Characterization of the Electrochemical Performance

A galvanostatic charge-discharge test was carried out on a land battery testing system. The specific current densities and specific capacities were calculated based on the total mass of the active materials. Cyclic voltammetry (CV) started with the open circuit voltage of approximately 3 V, while scanning between 10 mV and 3 V, at a scan rate of 0.05 mV s\(^{-1}\). All tests were carried out under room temperature.

4 Structure and Performance Results

4.1 Structure Characterization

SEM

Morphology of the materials after being reduced was observed by SEM. Compared with the materials before removing the template (Figure 7), the shell structure of the final product can be best seen under SEM, which is composed of TiO\(_2\). Figure 14 displays the morphology of various samples. We can find hundreds nanoparticles anchored on the inner surfaces of TiO\(_2\) shells. By using EDS, Sn was concentrated in these nanoparticles. The typical size of TiO\(_2\) sphere and Sn particles are 5-8 µm and 50-250 nm. This kind of hollow sphere structure that we designed can supply a huge buffering space for the volume expansion of Sn during charge and discharge. At the same time, nanocrystallization of Sn can also enhance the cycle performance of the
Figure 14 Microstructure of samples observed by scanning electron microscopy (SEM). The full view of TiO$_2$ sphere can be observed in (b) and (c). The Sn particles can be clearly found in (a) and (d).

**XRD**

XRD results are given in Figure 15. The XRD peaks of the three samples were indexed (200), (101), (220), (211), (301), (112) and (321) planes of Sn (JCPDS No. 04-0673). The peaks of TiO$_2$ are not clearly found by XRD, likely due to the formation of (Ti+Sn)O$_2$ solid solution $^{[35]}$ during the process of heat treatment, resulting in shifting of the peak of TiO$_2$ in the XRD pattern. The existence of Ti can be proved by XPS, Raman and SEM-EDS results.

The XRD patterns for three samples in various batches we obtained are very similar,
and no contaminants were detected, especially CaCO$_3$ or other calcium compounds. It suggests that our products of different batches are consistent and reliable.

![XRD spectra](image)

Figure 15 XRD spectra of samples from various batches.

**Raman**

Figure 16 shows a representative Raman spectrum of the samples. Raman spectroscopy relies on Raman scattering of monochromatic light usually from a laser. The laser light interacts with molecular vibrations, phonons or other excitations in the system, resulting in the energy of the laser photons being shifted up or down. The shift in energy provides a fingerprint by which molecules can be identified, which can help us to confirm the TiO$_2$ in our composite. Similar results were obtained from several other different samples. According to the reference$^{[37]}$, Raman active modes for anatase TiO$_2$ could be detected at 139, 194, 395, 514 and 634 cm$^{-1}$, while peaks for our materials
appeared at 105, 163, 418 and 608 cm\(^{-1}\). The consistent peak shift toward a lower wavenumber suggests the presence of a Sn/TiO\(_2\) solid solution.

![Figure 16 Representative Raman spectrum of the samples.](image)

**XPS**

According to the reference \(^{[33]}\), the binding energy of the Ti\(^{4+}\) (TiO\(_2\)), Ti\(^{3+}\) (Ti\(_2\)O\(_3\)) and Ti\(^{2+}\) (TiO) are 458.7 eV, 456.8 eV and 455.2 eV, respectively. Accordingly, all Ti was distributed on Ti\(^{4+}\) (TiO\(_2\)).

From the Sn 3d, we can find the peak at 486.5 eV (Figure 17 & 18) is close to Sn 3d\(_{5/2}\) SnO\(_2\) (487.3 eV) and SnO (487.0 eV), but far away from that of Sn (495.3 eV). This suggests that the Sn in our composite is covered by SnO\(_x\) because Sn is naturally easily oxidized \(^{[36]}\).
Figure 17 Overview of XPS result.

Figure 18 Individual XPS peak of Ti, Sn, C and O.
BET

According to the BET results, the specific surface area is 29.5 m$^2$ g$^{-1}$, with the relatively low surface area ascribed to the high density of Sn. Figure 19 shows BJH adsorption dA/dlog (D) pore volume, indicating that pores are present in materials, and the diameter of pores is mainly distributed between 5 nm to 10 nm.

![Pore size distribution](image)

Figure 19 Pore size distribution according to the BJH adsorption branch.

4.2 Electrochemical performance

4.2.1 The first Charge-Discharge performance

The specific capacity of the first charge of the tin/TiO$_2$ composite is 698 mAh g$^{-1}$, and the first irreversible capacity is 312.8 mAh g$^{-1}$, with a Coulombic efficiency of 69%
(Figure 20). The Coulombic efficiency of the composite is related to some irreversible processes such as SEI formation and the reduction of surface SnO$_x$. There is no apparent discharge plateau below 0.6 V for all samples. In the subsequent charge curve, a charge plateau around 0.5 V corresponds to the de-alloying process of Li-Sn. For the alloying and de-alloying of SnO$_2$, according to the previous reports, the chemical reaction is:

$$\text{SnO}_2 + 4\text{Li}^+ + 4\text{e}^- \rightarrow 2\text{Li}_2\text{O} + \text{Sn}$$  \hspace{1cm} (7)

According to the literature, there should be a charge plateau around 0.9 V in a charge curve. However, it was not found in our charge-discharge figure, indicating that SnO$_2$ is not the main composition in our materials. Most Sn exists as an elemental Sn that can enhance the first cycle performance.

![Figure 20 Specific capacity of first cycle.](image)
4.2.2 Cyclic Voltammetry

Figure 20 shows the initial three CV cycles of the Sn/TiO$_2$ materials. A high irreversible reduction peak appeared in the process, which indicates the formation of the SEI film. A reduction peak also is found between 0.1-0.25 V, corresponding with an oxidation peak around 0.5 V, which indicates the reversible alloying-dealloying process of Li-Sn. The Li$^+$ intercalation and deintercalation process is also shown from the reduction peak at 1.0 V and the oxidation peak at 1.25 V. Compared with the second and third cycle, we can find a reduction peak around 0.75 V, which is considered to be the main reason for the first cycle reversibility.

Figure 21 First three cycles of cyclic voltammogram of materials.
4.2.3 Electrochemical impedance spectra (EIS)

Figure 22 depicts the electrochemical impedance spectra (EIS) of the tin/TiO$_2$ composite in 2 h, 4 h, 6 h, and 8 h, in fully charged and fully discharged states. The equivalent circuit for fitting the Nyquist plots (using ZView) and the corresponding parameters of elements are shown in Figure 23 and Table 3. Fitting results of a fully discharged battery are based on the equivalent circuit A because only one semi-circle can be observed and there is no charge transfer resistance due to the high potential. The parameter of diffusion process is shown as W, which cannot be found in the fully charged curve based on the equivalent circuit C because no diffusion resistance is present. The Ohmic resistance was measured by the intercepts of the Nyquist plots at high frequencies; the values are 2.6 Ohm for fully charged and discharged states and 2.2, 2.4, 2.4, 2.4 Ohm for 2, 4, 6, and 8 h charged states at C/10, respectively (Shown as $R_1$ in Figure 23, which is determined by the intersection of the curve and X axis in Figure 22). The EIS spectrum obtained from charged states consists of two depressed semi-circles, resulting from the SEI layer and the charge transfer process (Shown as $R_2$ and $R_3$ in C of Figure 23, determined by diameter of first and second semi-circles of curves in Figure 22, respectively). The measured resistances are 25.1 and 104.1 Ohm for the SEI layer and the charge transfer process, and the fitting errors are 0.6 and 0.4 %, respectively. We related the depressed semi-circle at high frequencies to the SEI layer because we can also find it in the fully discharged curve due to the initial charge cycle; its resistance is 12.2 Ohm, which is a little higher than the resistances of 2, 4, 6, and 8 h charged states but lower than that at the fully charged state.
Figure 22 Nyquist plots of materials at 2 h, 4 h, 6 h, and 8 h, fully charged and fully discharged states.

Figure 23 Equivalent circuits of fully discharged (A), partially charged (B), and fully charged (C) state.
Due to the huge volume expansion of Sn in Li$^+$ intercalation and deintercalation process, the structure of Sn is easy to collapse, resulting in rapid decay of the reversible capacity\cite{34}.

In our design, TiO$_2$ shell offers a huge buffer space for the volume expansion of Sn during charge and discharge. At the same time, nanocrystallization of a Sn particle can also maintain a good electrical contact, so our materials showed excellent cycle stability.

As shown in Figure 24, the specific capacity of samples can be retained above 450 mAh g$^{-1}$ at 100 cycles and 400 mAh g$^{-1}$ at 200 cycles.
The capacity dropped relatively quickly in the first few cycles, but became stable after 10 cycles (Cells were cycled at C/20 for 2 cycles and then at C/5 for 200 cycles). Compared with the first reversible specific capacity 498.5 mAh g\(^{-1}\), the specific capacity of our product can maintain 95.3% at 100 cycles and 78.8% at 200 cycles.

The initial discharge (delithiation) capacity at 0.05 C is 698 mAh g\(^{-1}\) (Figure 25), which is very close to the theoretical capacity of Sn/TiO\(_2\) materials. Sn and TiO\(_2\) contributes to 551.6 and 146.3 mAh g\(^{-1}\), respectively (The molar ratio of Sn/TiO\(_2\) is 0.857 and the mass ratio is 1.274; theoretical capacities of Sn and TiO\(_2\) are 993 and 335 mAh g\(^{-1}\), and thus the theoretical capacity of the composite material is 703.3 mAh g\(^{-1}\)). After activation for the initial two cycles, the anode was cycled at 0.2 C, exhibiting capacities of 498.5 and 393.2 mAh g\(^{-1}\) for the 4th and 200th cycles, respectively. By comparing with the results in the reference \(^{[36]}\), we can conclude that the capacity located above 0.9 V mainly resulted from TiO\(_2\).

![Figure 24 Specific capacity of sample in 200 cycles.](image)
4.2.5 Rate performance

The rate performance of our materials is shown Figure 26. At a high current density of 2C, the capacity can reach 231 mAh g\(^{-1}\), 40% of the capacity obtained at the small current of 0.1C (567 mAh g\(^{-1}\)). It is worth mentioning that the capacity of this anode material can reach 311.6 mAh g\(^{-1}\) at 1C.
5 Parametric Studies

5.1 Binder impact on performance

Poly (acrylic acid) (PAA) is the most suitable binder compared with NMP and CMC-SBR. To compare the binder effect on the performance of material, some control tests were conducted. We found that the capacities of batteries that used NMP and CMC-SBR as a binder dropped rapidly after 100 cycles, possibly because of the destroyed structure.

5.2 Effect of Sn content on performance

In order to compare the different ratios of Sn on the performance of the electrode materials, several controls were prepared. The molar mass of SnCl₂ of these controls are 0.00125, 0.0025 and 0.003 mol, ceteris paribus. As can be seen in Figure 27, the XRD peak intensity of Sn peak of 0.0025 mol L⁻¹ sample is significantly higher than that in the case of the 0.00125 mol L⁻¹.

![Figure 27 XRD diagram of samples containing 0.00125 and 0.0025 mol Sn.](image-url)
Figure 28 Specific capacity of first cycle of samples containing 0.003 and 0.0025 mol Sn, respectively.

Figure 28 shows the first charge-discharge property of the different Sn content samples. The first charge capacities are 510.9 mAh g\(^{-1}\) and 698 mAh g\(^{-1}\) for 0.0025, and 0.003 mol SnCl\(_2\)-H\(_2\)O samples, respectively. The first irreversible capacity and first cycle Coulombic efficiency are 303.1 mAh g\(^{-1}\) and 312.8 mAh g\(^{-1}\), 62.8% and 69%, respectively. Obviously, a higher Sn content resulted in a better first charge capacity and first cycle Coulombic efficiency.

Figure 29 Specific capacity in 100 cycles of three samples content Sn 0.0025 and 0.003, respectively.
Figure 29 shows the specific capacity in 100 cycles for three different Sn contents. The sample obtained with 0.003 mol and 0.0025 mol SnCl$_2$·H$_2$O can retain the specific capacity 460 mAh g$^{-1}$ and 400 mAh g$^{-1}$ at 100 cycles, respectively.

### 5.3 The effects of different Ti content

In order to compare the effect of the different Ti content on the structure and performance of the materials, several controls were prepared. The molar quantities of TIPT of controls were 0.0028, 0.0035, and 0.0042 mol, ceteris paribus.

#### 5.3.1 Effect of Ti content on structure

Considering that CaCO$_3$ is the template for TiO$_2$ shell, TiO$_2$ is stretched over the CaCO$_3$ sphere before it is removed. Supposing that the size of CaCO$_3$ spheres is homogenous on the same condition, the thickness of shell will be mainly determined by the amount of the Ti. In Figure 28, the molar quantities of TIPT of (a), (b) and (c) are 0.0042, 0.0035 and 0.0028 mol, respectively. Obviously, the TiO$_2$ shell in Figure 29(a) is thicker than the others, while maintaining a more complete hollow sphere structure. On the contrary, we can hardly recognize the shell structure of the sample in Figure 29(c); it just tore to pieces.

As a result, we can infer, in the same condition, the sample with higher molar quantities of Ti tends to form a thicker TiO$_2$ and has a higher possibility of maintaining the original structure.
Figure 30 SEM of samples (a), (b) and (c) with 0.0042, 0.0035 and 0.0028 mol TIPT (which indicate 0.0028, 0.0035 and 0.0042 mol of Ti Content, respectively)

5.3.2 Ti content impact on electrochemistry

The electrochemical properties of materials obtained in the presence of 0.0028, 0.0035, and 0.0042 mol TIPT are shown in Figure 29. The first charge capacities of the samples in the case of 0.0028, 0.0035, and 0.0042 mol TIPT content samples are 650, 550, and 540 mAh g⁻¹; and the first reversible capacities are 620 mAh g⁻¹, 450 mAh g⁻¹ and 417.9 mAh g⁻¹, decaying to 388 mAh g⁻¹, 310 mAh g⁻¹ and 293 mAh g⁻¹ while retaining 62.5%, 68.8% and 70.1%, respectively, after 150 cycles. The sample in the case of 0.0042 mol TIPT still remained at 68% capacity at 200 cycles.

Coupled with the SEM images, we can speculate that a higher Ti content contributes to the more complete and thicker TiO₂ shell, which can help to continually supply a
necessary buffer space for the volume expansion of Sn when charged-discharged without collapse.

![Graph](image)

Figure 31 Specific capacity in 200 cycles of three samples obtained in the presence of 0.0028, 0.0035 and 0.0042 mol TIPT (which indicate 0.0028, 0.0035 and 0.0042 mol of Ti Content, respectively).

### 6. Conclusion

A new efficient and economical method to synthesize a core-shell structure composite material was introduced in this thesis. CaCO₃, a cheap and accessible material that is also easy to remove, is used as a template in the synthesis process. Through a series of chemical reactions and heat treatments, we obtained the target product with Sn nanoparticles attached on inner surfaces of spherical TiO₂ shells, as evidenced by SEM observation. The composition of materials was verified by XRD, XPS, and Raman. BET measurements were also used to obtain the surface area and the
pore size distribution. The TiO$_2$ hollow sphere structure can offer enough voids for volume expansion of tin in charge-discharge processes, which avoids the formation of cracks in tin. Therefore, the cycling performance can be improved. Compared with pure tin and other tin-based materials in the literature, the cycle performance of our samples is superior. The specific capacity of samples can be retained above 450 mAh g$^{-1}$ at 100 cycles and 400 mAh g$^{-1}$ at 200 cycles. These are 95% and 78.8% of the first reversible capacity, respectively.

To investigate effects of Sn and Ti content on the performance of materials, controlled experiments were designed. Through the characterization and testing, we found that a high Sn content is helpful to enhance the first cycle capacity, while a high Ti content contributes to a more stable microstructure, which improves the cycle performance of the material. However, the theoretical capacity will decrease with the increase of the Ti content. Therefore, a tradeoff between capacity and cycle performance has to be optimized.

7. Future Research

Subject to the limited time, some work was not perfect in the experiments, and there are also many questions worth studying further. A list of additional ideas is presented below.

1. As mentioned before, TiO$_2$ peaks in XRD spectra are shifted for some unknown reasons. I propose that some Sn-TiO$_2$ composite is formed in the synthesis process,
which requires Additional characterization to verify the primary cause of this phenomenon.

2. To find out the reason for the capacity decay, especially after 100 cycles, I propose observing the change of microstructure and composite of the samples that charged-discharged for 2, 50, 100 and 200 cycles. Through additional characterization on the electrochemical performance, one may find out the main factors impacting the cycle performance and improve the synthesis method.

3. Various calcination and reduction temperatures and different ramp up rates can be studied. By comparing the performance and microstructure of the as-prepared samples, the optimum temperature for heat-treatment could be found.

4. Carbon/graphene coating, and nanotubes could be used to further increase the conductivity and the rate performance of these materials.
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