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## Forest Cover Changes in North Korea Since the 1980s

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# Title: Forest cover changes in North Korea since the 1980s

3 Running title: Forest cover changes in North Korea

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- 16 This article has been published in Regional Environmental Change and the final published
- 17 version is available at <u>https://link.springer.com/article/10.1007/s10113-013-0497-4</u>

#### 19 Abstract

20

21 North Korea used to have abundant forest stocks but underwent substantial deforestation and 22 degradation of forest in recent decades. This study examined morphological changes of forest 23 cover in North Korea between the 1980s and 2000s. Land cover data based on Landsat TM 24 imagery were obtained as images from the Republic of Korea's Ministry of Environment. The 25 images were processed and used for the Morphological Spatial Pattern Analysis and network analysis. MSPA classified the forest cover into morphological classes such as core, islet, bridge, 26 27 perforation, edge, loop, and branch. The network analysis identified individual networks of forest, 28 each of which represents a patch of connected forest. The results are summarized as follows: (1) 29 Forest cover sharply decreased between the 1990s and 2000s, particularly in western provinces; 30 (2) Morphological classes indicating forest fragmentation such as islet, branch and edge 31 consistently increased in their fraction to the total area between the 1980s and 2000s; (3) Islet, 32 branch and edge also increased in number during the same period; (4) Forest networks shrank in 33 size and increased in number. Overall, the results demonstrate that deforestation and 34 fragmentation of forest occurred simultaneously in North Korea during the time.

Keywords: Morphological Spatial Pattern Analysis; network analysis; deforestation;
fragmentation; green infrastructure; North Korea

## 38 Introduction

39

40 North Korea (Democratic People's Republic of Korea) has very rugged terrain in most of the 41 country, particularly in the north and the east. Many streams originate from the mountains and flow west- or eastward, producing some flat lands suitable for farming (Korea Institute for 42 43 National Unification 2009). In 1945, it was estimated that the area under forest was about 9.7 44 million hectares out of the land area of 12.3 million hectares (ca. 79%), but it reduced to 8.2 45 million hectares in 1997 (Piddington 2003). A range of socio-economic factors explains the 46 decline in forest cover in North Korea, particularly during the 1980s and the 1990s when the 47 decline was sharp (Lee et al. 1999).

48

49 One of the most important factors is thought to be conversion of forest to farmland. Food 50 shortage was already a problem in North Korea in the 1980s, largely due to declining agricultural 51 productivity (Kim 2005) and stagnating economy (Park et al. 2009). One of the measures to 52 alleviate food shortage was to expand farmland, particularly in hilly areas as terraced fields. This 53 type of farmland is called a *darakbat* in Korean. Even though *darakbat*s were built as part of a government program to expand productive farmlands, many of them were poorly built and 54 55 managed, and resulted in land degradation and low productivity (Lee et al. 2005). Logging can 56 be pointed out as another major contributor to deforestation. Energy was already in short supply 57 in the 1980s, too (Kim 2005). Lack of energy supply reduced agricultural productivity and 58 deepened economic hardship. People adapted to energy shortage by logging in the absence of 59

government monitoring (Park et al. 2009).

60

61 Deforestation not only reduces biomass stock and ecological integrity, but also exacerbates flood 62 damage (Bradshaw et al. 2007). Big floods are not uncommon in North Korea, but those in 1995 and 1996 were particularly devastating (Kim and Ryu 2009). The floods in July and August of 63 64 1995 resulted in displacement of 5.4 million people, destruction of 330,000 hectares of 65 agricultural land, and loss of 1.9 million tons of grains (Noland et al. 2001). The 1996 floods 66 were less severe but occurred well before recovery from the previous year's floods. Such floods 67 were caused by downpours with decades-long cycles but the damage was exacerbated due to 68 environmental degradation (Kim 1999).

69

70 In general, not a lot of studies are found regarding deforestation in North Korea. Some studies 71 examined deforestation in North Korea in terms of overall statistics (Lee et al. 1999) or for a 72 limited area (Tang et al. 2010). However, morphological studies on land cover change are hard to 73 find. A noticeable example of morphological studies was conducted by Zheng et al. (1997). They 74 examined forest changes in the Changbai (Baekdu in Korean) Mountain Reserve located on the 75 China-North Korea border between 1972 and 1988 to find that forest became more fragmented 76 and smaller in size. Not only reduction but also fragmentation of forest cover can be a threat to 77 ecological integrity and sustainability. The forest ecosystem in North Korea is inhabited by 78 various animals, plants (mostly trees) and microorganisms (Piddington 2003), and it has been 79 well documented that the forest ecosystem continues to decrease in size. However, it is rarely documented how the forest is changing in shape. Negative effects of habitat fragmentation have been widely studied (Fahrig 2003), and we certainly think that it is happening in North Korea's forest. The definition of habitat fragmentation is diverse, but the diverse definitions imply four main effects on habitat pattern (Fahrig 2003 p. 491): (1) reduction in habitat size, (2) increase in number of habitat patches, (3) decrease in sizes of habitat patches, (4) increase in isolated patches. These four elements form a guideline on how to examine morphological changes in forest in North Korea.

87

88 The purpose of the study was to quantitatively analyze morphological changes in forest cover in 89 North Korea between the 1980s and 2000s. The novelty of the study is that this is the first 90 attempt, to the best of our knowledge, to analyze morphological changes in forest for the entire 91 North Korea. During the time. North Korea had the first ever leadership change (or hereditary 92 succession) and underwent socio-economic changes. The results from the study are expected to 93 provide a basis for scientific research on social and ecological effects of forest fragmentation. The Materials and Methods section introduces data used and our analysis methods, 94 95 Morphological Spatial Pattern Analysis (MSPA) and network analysis. Following the guideline suggested by Fahrig (2003), our analysis focused on the size, frequency, and connectivity of 96 97 forest patches. We hypothesized that during the time period in North Korea forest patches 98 generally decreased in size, increased in frequency, and isolated patches or strips of forest 99 increased in frequency. The Results and Discussion section presents statistics and maps from the 100 analyses, and is followed by conclusion and recommendations.

## **102 Materials and Methods**

103

#### 104 Land cover data

105

106 Land cover data as images were obtained for the years 1980, 1990, and 2000 from the Web site 107 of the Republic of Korea's (South Korea) Ministry of Environment 108 (http://egis.me.go.kr/bc/largeGrdCover 2000.do). Each data set was produced from the Landsat 109 TM imagery taken for three years for the entire Korean Peninsula. The spatial resolution of the 110 Landsat imagery is 30 m, but the land cover data maps were available at a 100-m spatial 111 resolution. They had more than 70% accuracy for classification in the North Korean region. It 112 should be noted that ground truthing is virtually impossible in North Korea due to political 113 reasons. Land cover types had already been classified into urban, agricultural, grassland, forest, 114 wetland, barren, and open water using the unsupervised classification method.

115

First, the portion of North Korea was clipped out from the original data sets for the three years. The land cover maps were then pre-processed to produce the binary foreground and background image files, where foreground is the land cover of interest and background is the rest. Here forest pixels constitute foreground (2 bytes) and non-forest ones background (1 byte) in the

terminology of MSPA used in the study. Next, the pre-processed image files were converted to
8-bit TIFF files without compression in a geographic information system (GIS) environment.
After the series of processes, the image files for the years 1980, 1990, and 2000 were made ready
for the morphological analysis.

124

Fig. 1 shows the land cover in North Korea for 2000. The land cover is overlaid by provincial boundaries. Generally the eastern half of the country is heavily forested and the western half is more dominated by agricultural land. Pyongyang is the largest metropolitan area and is a special administrative district as the capital of the country.

129

#### **130 Morphological Spatial Pattern Analysis**

131

132 Morphological changes of forest cover were analyzed using the Morphological Spatial Pattern 133 Analysis (MSPA) (Soille and Vogt 2009). MSPA is based on concepts from mathematical 134 morphology (Soille 2003), and segments arbitrary binary patterns into a series of categories or 135 classes representing different size, shape, and connectivity (Soille and Vogt 2009). In other 136 words, when there is a binary raster data set (forest or foreground = 1, non-forest or background 137 = 0), MSPA analyzes the patterns of forest (foreground) cells and categorize based on the size, 138 shape, and connectivity of the cells. This is a useful alternative to overlaying multiple thematic 139 layers in a GIS environment for mapping green infrastructure such as forest, because it only 140 requires a single, binary layer (Wickham et al. 2010).

141

A size-parameter, *s*, is used as a threshold to determine the categories, and defined as  $\sqrt{a^2 + b^2}$ where *a* and *b* are the distance along the horizontal and vertical axes, respectively, between any two pixels (Soille and Vogt 2009). Connectivity between pixels is defined based on one of two rules, eight-neighbors and four-neighbors. If *s* = 1, *a* = 0 and b = 1 (or vice versa), it corresponds to the width of one pixel. If an eight-neighbor rule is chosen for foreground, a four-neighbor rule is applied for background, or vice versa.

148

149 MSPA has seven mutually exclusive morphological categories: core, islet, loop, bridge, 150 perforation, edge, and branch (Soille and Vogt 2009). The definition of the categories is given in 151 Table 1 according to Soille and Vogt (2009) and illustrated in Fig. 2. Core and islet pixels can be 152 viewed as patches of foreground pixels, while islet pixels are not connected to any other 153 category. Branch pixels extend out from an area of core but do not connect to another area of 154 core. Core can be viewed as hub, and bridge is equivalent to corridor or link in the literature of 155 ecological networks (Wickham et al. 2010). The selection of the size-parameter s affects the 156 minimum size of core and the number of core pixels, and the relationship is non-linear 157 (Wickham et al. 2010).

159 **Table 1** Definition of morphological categories.

| Туре  | Category | Definition                                            |
|-------|----------|-------------------------------------------------------|
| Patch | Core     | Foreground pixels whose distance to the background is |

|                                                       |             | greater than s                                                      |  |  |  |  |  |  |
|-------------------------------------------------------|-------------|---------------------------------------------------------------------|--|--|--|--|--|--|
|                                                       | Islet       | Patch of foreground pixels that do not contain any core             |  |  |  |  |  |  |
|                                                       |             | pixel                                                               |  |  |  |  |  |  |
| Connector                                             | Bridge      | Pixels emanating from two or more core connected                    |  |  |  |  |  |  |
|                                                       |             | components                                                          |  |  |  |  |  |  |
|                                                       | Loop        | Pixels emanating from the same core connected                       |  |  |  |  |  |  |
|                                                       |             | component                                                           |  |  |  |  |  |  |
| Boundary                                              | Perforation | Pixels whose distance to the core pixels is lower than or           |  |  |  |  |  |  |
|                                                       |             | equal to s and located within a core $\rightarrow$ inner boundary   |  |  |  |  |  |  |
| Edge Pixels whose distance to the core pixels is lowe |             |                                                                     |  |  |  |  |  |  |
|                                                       |             | equal to s and facing background on other sides $\rightarrow$ outer |  |  |  |  |  |  |
|                                                       |             | boundary                                                            |  |  |  |  |  |  |
|                                                       | Branch      | Pixels that do not belong to any of the previously defined          |  |  |  |  |  |  |
|                                                       |             | categories                                                          |  |  |  |  |  |  |

160

161 To perform MSPA, we used the GUIDOS (Graphical User Interface for the Description of image 162 Objects and their Shapes) program available online for free from European Commission Joint 163 Research Centre (http://forest.jrc.ec.europa.eu/download/soft-ware/guidos). We used eight-164 neighbor connectivity for foreground and the size-parameter value of one. Since the spatial 165 resolution of the data used here is 100 m, we set the edge width at 100 m.

166

#### 167 Network analysis

168

A network analysis was conducted on the MSPA results by employing GUIDOS. The analysis is based on the graph-theory application (Saura and Torne 2009). A graph in the analysis is a collection of vertices or nodes and edges or links that connect nodes. In the terminology of GUIDOS's network analysis, nodes are cores and links are bridges, and the remaining MSPA classes including islet, loop, perforation, edge, and branch, are neglected (Vogt 2010). Bridges are connectors between different cores. A connected set of nodes and links is called a component
— as an interchangeable term of the graph — in GUIDOS. Thus, a set of cores without any links
(i.e. nodes only) will not be considered as a component in this study.

177

## 178 **Results and Discussion**

179

We present the results in the following subsections: land cover change, forest cover change, morphological changes of forest, and forest network change. The land cover change subsection presents overall statistics of all land cover types during the three decades. The forest cover change subsection presents detailed information regarding the amount of forest cover. The morphological changes of forest subsection presents the results from the MSPA, and the forest network change subsection presents those from the network analysis.

186

#### 187 Land cover change

188

Table 2 shows the fraction of each land cover type for the years 1980-2000 to the entire area of North Korea. Between 1980 and 1990, forest decreased by 4 percentage points whereas agricultural fields increased by 2 percentage points. Between 1990 and 2000, forest decreased by 13 percentage points whereas grassland increased by 7 percentage points and agricultural fields by 4 percentage points. Urban lands increased by up to 1 percentage point for each decade. It 194 seems forest was replaced largely with agricultural and grassland. Grassland increased a lot 195 between 1990 and 2000, and is generally located between agriculture and forest (see Fig. 1). We 196 speculate that it indicates degradation of forest cover. Urban lands increased about 50% each 197 decade, but are still quite small in size.

198

| 199 <b>Table 2</b> Fraction of rand cover types to the entire rand area of North K | 199 | Table 2 | Fraction of | f land | cover ty | ypes to | the entire | land | area | of North | Korea |
|------------------------------------------------------------------------------------|-----|---------|-------------|--------|----------|---------|------------|------|------|----------|-------|
|------------------------------------------------------------------------------------|-----|---------|-------------|--------|----------|---------|------------|------|------|----------|-------|

| Year            |           |                |        |
|-----------------|-----------|----------------|--------|
|                 | 1980      | 1990           | 2000   |
| Land cover type |           |                |        |
|                 | • • • • • | <b>a 1</b> 0 ( | 1 10 ( |
| Open water      | 2.0%      | 2.1%           | 1.4%   |
| Urban           | 1.1%      | 1.6%           | 2.6%   |
| Barren land     | 0.8%      | 1.4%           | 0.4%   |
| Wetland         | 0.4%      | 0.4%           | 3.0%   |
| Grassland       | 4.0%      | 4.8%           | 11.6%  |
| Forest          | 74.6%     | 70.5%          | 57.7%  |
| Agricultural    | 16.9%     | 19.0%          | 23.2%  |
| TOTAL           | 100%      | 100%           | 100%   |

200

201

202 Forest cover change

Table 3 portrays overall changes in forest in North Korea between 1980 and 2000, and suggests that deforestation accelerated during the 1990s. From 1980 to 1990 it decreased by 5% and from 1990 and 2000 it decreased by 18%. It is generally known that the sharp deforestation in the 1990s is related to severe famine that led to the so-called 'Arduous March' (http://tinyurl.com/2e7eefu). During the same time, both urban and agricultural lands increased (see Table 2).

210

| Year | Total    | area | Forest   | area | Change from previous    | Percent | change   |
|------|----------|------|----------|------|-------------------------|---------|----------|
|      | $(km^2)$ |      | $(km^2)$ |      | data (km <sup>2</sup> ) | from    | previous |
|      |          |      |          |      |                         | data    |          |
| 1980 | 122,762  |      | 91,717   |      | N/A                     | N/A     |          |
| 1990 | 122,762  |      | 86,678   |      | -5,039                  | -5%     |          |
| 2000 | 122,762  |      | 70,858   |      | -15,820                 | -18%    |          |

211 Table 3 Changes in forest cover in North Korea

212

213 Fig. 3 shows fraction of forest cover in each province between 1980 and 2000. Between 1980 214 and 1990, forest cover fraction decreased particularly in Yanggang-do, Hamgyeongbuk-do, 215 Raseon-si, and Nampo-si. The first three are in the northeast and Nampo-si is on the west coast. 216 close to Pyongyang-si. Tang et al. (2010) report that the forest in the *Baekdu* area, located in 217 Yanggang-do on the border with China, continued to degrade largely due to strip logging by the 218 early 2000s. Between 1990 and 2000, forest cover decreased particularly in Jagang-do, 219 Gaeseong-si. Gangweon-do, Pyeonganbuk-do, Pyeongannam-do, Hwanghaenam-do, 220 Pyongyang-si, and Nampo-si. Except for Gangweon-do, all are located in the western half of the 221 country, which is different from the period 1980-1990. They include three urban provinces

(Gaeseong, Pyongyang and Nampo), and Pyeongannam-do and Hwanghaenam-do border at leasttwo of the urban provinces respectively.

224

225 Morphological changes of forest

226

227 MSPA categories of forest in North Korea are shown in Fig. 4 for the three different years. What 228 is noticeable between 1980 and 1990 includes a large increase in islet class in south of 229 Pyongyang, clearing of forest in the northwestern corner of the country, and widespread 230 conversion from core to bridge classes in the northeastern 'arm'. Deforestation is obvious, but 231 fragmentation was of problem as much. There is a large harbor city south of Pyongyang, called 232 Nampo, along the long narrow inlet. The northwest corner of the country has been a long-time gateway to China and an industrial center since the early 20<sup>th</sup> century. At the same time, 233 234 topography-wise, the western part of North Korea is where most of plains are located, thus a lot 235 of agricultural fields. Considering that urban areas occupy a very small fraction of the land area, 236 conversion to farmland is thought to have had more influence than urban and industrial 237 development. Urban areas increased by less than 2 percentage points during 1980-2000 238 nationwide, whereas farmland increased by 6 percentage points (Table 2). On the other hand, the 239 northeastern 'arm' has very high (easily exceeding 2000 m above sea level) mountains and 240 plateaus, and is the most remote part of the country. Therefore fragmentation in the area may be 241 due largely to individual and local activities.

Between 1990 and 2000, a large decrease in core, bridge, and islet classes in the southwest is remarkable. Not just core shrank in size but also a lot of bridge and islet disappeared. It coincides with a large increase in agricultural land during the period. The land cover maps showed that agricultural lands substantially increased during the time in the western part of the country. Many of small cores in the southwest are surrounded by agricultural lands.

248

249 The upper panel of Fig. 5 portrays the fraction of MSPA classes to the entire country, and the 250 lower panel portrays the changes in the fraction of the MSPA classes out of the entire forest 251 cover. Therefore the upper panel shows absolute changes (smaller fractions meaning smaller in 252 area) whereas the lower panel shows relative changes within forest. In the upper panel core 253 continues to decrease whereas in the lower panel it decreased and increased during the time. 254 Because the graphs show fractional changes, a smaller fraction of core does not necessarily mean 255 fewer cores. The fraction of core to the entire land area decreased along with overall 256 deforestation during the time, but its areal share within forest increased between 1990 and 2000. 257 Both graphs show that bridge increased and then decreased. Because bridges connect cores, if 258 cores become smaller, some core cells convert to bridge cells. The opposite trends between core 259 and bridge in the lower panel can be explained in this way. Both core and bridge decreased 260 absolutely during 1990-2000 (upper panel), but core increased relatively and bridge decreased 261 (lower panel). The relative decline of bridge seems to be related to the increase in branch 262 because bridge becomes branch when the connection to core is broken. Islet and edge continued 263 to increase both absolutely and relatively. Increasing fractions of islet and edge suggest an 264 increasing degree of fragmentation. Islet is unconnected to anything and too small to be core, and 265 edge increases when cores are broken or change shape.

266

267 Fig. 6 portrays the frequency of MSPA classes occurring as individual objects. Core, islet, edge, 268 bridge and branch increased in number between 1980 and 2000. With core area continuing to 269 decrease absolutely, the increasing number of core in the figure suggests smaller cores, a sign of 270 defragmentation. Islet, edge, and branch both increased in size and number, strongly suggesting 271 defragmentation. Bridge is somewhat unique. Its frequency increased along with core. With 272 more and smaller cores, it makes sense that bridge increased in number. However, the total area 273 of bridge decreased both absolutely and relatively between 1990 and 2000 when the frequency 274 increased remarkably. We think this is another sign of fragmentation. With more and smaller 275 cores, bridges increased in number, and at the same time, individual bridges became much shorter, connecting fragmented cores, or were converted to non-forest. 276

277

#### 278 Forest network change

279

Fig. 7 portrays the changes in forest network between 1980 and 2000. In the figures, each color other than light grey represents a component. In 1980, there was a very large (blue) component covering most of the country, and several small ones mostly in the southwest. In 1990, the large blue component became more porous, revealing more light grey pixels in it, and shrank in overall size. The contraction of the blue component is very startling in 2000. It is most visible in the central and southern parts of the country. At the same time, the North Korean-Chinese border region experienced substantial fragmentation. Unlike in 1980 or 1990, the border is covered withpixels other than blue, meaning small networks separated from the large blue component.

288

289 Wickham et al. (2010) said that identifying and mapping of ecological networks are a significant 290 part of green infrastructure research, and focused on forest and wetland for their green 291 infrastructure mapping in the United States. In North Korea, the concept of green infrastructure 292 is premature considering its dire economic and political conditions, but it is not too early to 293 conduct research about its green infrastructure, particularly forest, before it degrades irreparably. 294 North Korea shares borders with South Korea and China, and forest crosses the borders. Human 295 activity degrading forest is constrained by the border, but the negative consequences, such as 296 increased sediment loads in streams or riverine flooding are not. The present study identified 297 degradation of forest cover in North Korea both in terms of quantity and quality, which can be of 298 interest both to environmental managers in South Korea and China.

299

## 300 Conclusion and Recommendations

301

We examined the changes in forest cover in North Korea between the 1980s and 2000s using the Morphological Spatial Pattern Analysis (MSPA) and network analysis. The findings are summarized as follows: (1) Forest cover sharply decreased between the 1990s and 2000s, particularly in western provinces; (2) Morphological classes indicating fragmentation such as islet, branch and edge consistently increased in their fraction to the total area between the 1980s
and 2000s; (3) Islet, branch and edge also increased in frequency during the same period; (4)
Forest networks shrank in size and increased in number. Overall, the results demonstrate that
deforestation and fragmentation of forest occurred simultaneously in North Korea during the
time.

311

These morphological changes might have been considerably influenced by various land use practices including urbanization, agricultural land expansion, industrial development, or other activities. However, it is difficult not only to pinpoint the main causes of these changes but also to interpret how they interact with each other. Better understanding of cause and consequence relations regarding our findings is deferred to the next step in research.

317

318 Our study emphasized the importance of analyzing forest degradation in the form of 319 fragmentation. North Korea's forest stock not only decreased in amount but also degraded 320 resulting in poorer connectivity. Restoring forest in North Korea may require an enormous 321 amount of resources, therefore it is important to set priority. To maximize benefit with limited 322 resources, we think restoration efforts should focus on improving connectivity of forest, which 323 currently receives a lot of attention in ecology and land conservation planning (Saura and Torne 324 2009). Therefore, it is important to understand the morphological pattern of forest, and it can be 325 done using the approaches of our study. Future studies in this topic need to extend beyond the 326 borders with South Korea and China.

## 328 **Figure Captions**

329

| 330 | Fig. | 1 Land | cover | and | provincial | boundaries | of North | Korea | in | year 2 | 2000, | with | the | inset | map |
|-----|------|--------|-------|-----|------------|------------|----------|-------|----|--------|-------|------|-----|-------|-----|
|-----|------|--------|-------|-----|------------|------------|----------|-------|----|--------|-------|------|-----|-------|-----|

- 331 showing neighboring South Korea and China and major cities
- **Fig. 2** Illustration of morphological categories in MSPA (Image captured from the study results)
- **Fig. 3** Fraction of forest cover to the entire land area by province, 1980-2000
- **Fig. 4** Map of MSPA classes for 1980 (a), 1990 (b), and 2000 (c) and their fractions to the entire
- land area. The fractions may not add up to 100% due to rounding.
- 336 Fig. 5 Fraction of MSPA classes to the entire country (upper panel) and to the entire forest
- 337 (lower panel), 1980-2000. The information in the upper panel also appears in the Fig. 4 legend.
- **Fig. 6** Frequency of each MSPA class occurring as individual objects, 1980-2000
- Fig. 7 Map of forest network for 1980 (a), 1990 (b), and 2000 (c). Each color other than grey
  represents a network component.
- 341

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