Development of Novel Compound Controllers to Reduce Chattering of Sliding Mode Control

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DEVELOPMENT OF NOVEL CONTROLLERS TO REDUCE CHATTERING OF SLIDING MODE CONTROL

by

Mehran Rahmani

A Thesis Submitted in
Partial Fulfillment of the
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ABSTRACT

DEVELOPMENT OF NOVEL COMPOUND CONTROLLERS TO REDUCE CHATTERING OF SLIDING MODE CONTROL

by

Mehran Rahmani

The University of Wisconsin-Milwaukee, 2021
Under the Supervision Professor Mohammad H. Rahman

The robotics and dynamic systems constantly encountered with disturbances such as micro electro mechanical systems (MEMS) gyroscope under disturbances result in mechanical coupling terms between two axes, friction forces in exoskeleton robot joints, and unmodelled dynamics of robot manipulator. Sliding mode control (SMC) is a robust controller. The main drawback of the sliding mode controller is that it produces high-frequency control signals, which leads to chattering. The research objective is to reduce chattering, improve robustness, and increase trajectory tracking of SMC. In this research, we developed controllers for three different dynamic systems: (i) MEMS, (ii) an Exoskeleton type robot, and (iii) a 2 DOF robot manipulator. We proposed three sliding mode control methods such as robust sliding mode control (RSMC), new sliding mode control (NSMC), and fractional sliding mode control (FSMC). These controllers were applied on MEMS gyroscope, Exoskeleton robot, and robot manipulator. The performance of the three proposed sliding mode controllers was compared with conventional sliding mode control (CSMC). The simulation results verified that FSMC exhibits better performance in chattering reduction, faster convergence, finite-time convergence, robustness, and trajectory tracking compared to RSMC, CSMC, and NSFC. Also, the tracking performance of
NSMC was compared with CSMC experimentally, which demonstrated better performance of the NSMC controller.

**Keywords:** Chattering, Exoskeleton robot, MEMS, Robot manipulator, Sliding mode control, Trajectory Tracking.
Dedication

To

My Beloved Family
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Chapter 1

Introduction

In control theory, robust control is a method for controller design that is directly related to modeling uncertainty, and unknown disturbances. The goal of the robust control method is to design a controller to obtain robust performance and/or stability in the presence of bounded modeling errors [1, 2]. Sliding mode control (SMC) is a nonlinear control method used in control systems to change the behavior of a nonlinear dynamic system by applying a discontinuous control signal that causes the system to "slide" along a sliding surface. The state-feedback control law is not a continuous function of time.

The main problem of the sliding mode control is that it creates chattering, which is responsible for damaging the structure of mechanical systems [3]. Scholars are designed different control approaches to reduce the chattering of SMC. For example, Kachroo and Tomizuka [4] used a boundary layer around the switching surface to eliminate chattering in the SMC. The novelty of designing sliding mode control depends on how to select sliding mode surface.

In this research, three controllers that include robust sliding mode control (RSMC), new sliding mode control (NSMC), and fractional sliding mode control (FSMC) based on sliding mode control are proposed. These control methods are applied on a MEMS gyroscope, an exoskeleton robot, and a 2DoFs robot manipulator. The simulation results demonstrated that FSMC shows better performance in chattering reduction, faster convergence, robustness, and trajectory tracking compared to three other controllers, CSMC, RSMC, and NSMC. The main contributions of this research are as follows:
• A novel FSMC was proposed to improve tracking performance

• Experimental verification of the proposed NSMC on a 2DoFs robot manipulator showing better trajectory tracking performance compared to CSMC.
Chapter 2

Overview

2.1 Literature Review

In the literature review section, applications of different control methods on MEMS gyroscope, Exoskeleton robot, and robot manipulator are discussed.

2.1.1 Control of MEMS gyroscope

MEMS gyroscope devices, also referred to as angular rate sensors are widely used in control engineering to measure angular velocity without any fixed point of reference. The advantage of MEMS gyroscope is their small size, which makes them suitable for various applications, such as automotive and biomedical applications [5, 6]. MEMS gyroscope needs to be suitably controlled to perform its defined task, such as measuring angular velocity. Sliding mode control (SMC) is a conventional control system that has been used in various industrial MEMS applications [7-9]. Batur et al. [10] proposed an adaptive feedback controller using SMC to guarantee the stability of the MEMS gyroscope device. Fei and Yuan [11] proposed a dynamic SMC approach with a novel switching function for the state tracking of MEMS gyroscope. Simulation results verified that the proposed control method can improve the dynamic performance of the MEMS gyroscope.

The chattering phenomenon [12], which is caused by the unmodelled dynamics system (the phenomenon that is affected by the controller and external perturbation that are not observable by the model), is the main drawback of the SMC. Chattering is a quick, sometimes noisy vibration with a fixed frequency and amplitude. Generally, the chattering phenomenon can be eliminated by using a compound system. Chu and Fei [13] proposed an adaptive global SMC using a Radial Basis Function (RBF) neural network for the reduction of chattering and tracking of the MEMS...
gyroscope. The proposed control method has suitable tracking performance, but high chattering in the control input is the main problem. Ren et al. [14] proposed an adaptive fuzzy finite time SMC on MEMS gyroscope to consider uncertainty and external disturbance. The stability of the proposed control system was verified by Lyapunov's theory. Wang and Fei [15] proposed a multi-input multi-output Takagi-Sugeno fuzzy model designed to improve tracking performance. The proposed controller improved the tracking performance, but it has high control inputs. Xin and Fei [16] proposed an adaptive backstepping sliding mode control method to control the x-y movements of the MEMS gyroscope. An adaptive backstepping controller was designed and incorporated with the SMC to estimate systems uncertainties. By designing (Xin and Fei [16]) the adaptive backstepping sliding mode controller, the chattering phenomenon was considerably eliminated. Fei et al. [17] proposed an adaptive nonsingular terminal sliding mode tracking control method based on the backstepping approach for MEMS gyroscope vibratory control. The proposed control method guaranteed the asymptotical stability of the closed-loop system. Ghanbari and Moghanni-Bavil-Olyaei [18] proposed a novel terminal sliding mode controller to control the MEMS z-axis gyroscope. However, using (Ghanbari and Moghanni-Bavil-Olyaei) an adaptive fuzzy terminal sliding mode controller, the chattering phenomenon was significantly reduced.

Several recent publications focused on the application of neural networks and fuzzy control to improve SMC performance [19]. Pour Asad et al. [20] proposed a new fuzzy SMC to control a MEMS gyroscope. A supervisory compensator was applied to eliminate the effect of the estimation error. Simulation results demonstrated that the type-2 fuzzy system performs better than the adaptive neuro-fuzzy SMC inference system (ANFIS). Chu et al. [21] proposed a global proportional integral derivative (PID) SMC based on an adaptive radial basis function neural network. A neural network was implemented to ensure stability and robustness in the presence of
a lumped uncertainty for a MEMS gyroscope system. Moreover, dynamic global PID sliding mode control and adaptive laws guarantee the asymptotic stability of the close-loop system.

Rahmani [22] suggested a novel hybrid fractional-order terminal sliding mode control and proportional-integral-derivative (PID) control to control a MEMS gyroscope. SMC is a robust control, and PID controller has high tracking performance. Therefore, both controllers use each other advantages. The differentiation and integration order of the operation can be defined as a real or complex number. The chattering problem in the fractional integral terminal sliding mode control was eliminated using a proportional-derivative (PD) controller. As the studies above have indicated, the chattering phenomenon in the SMC can be eliminated by choosing an appropriate control method. However, an optimal way of implementing the control method remains to be investigated.

2.1.2 Control of Exoskeleton Type Robots

An exoskeleton upper limb robot is one type of rehabilitation human-robot interaction, which has been widely studied by researchers all around the world. Sliding mode control has been widely used in robotics systems due to its high tracking performance and robustness against external disturbances [23-27]. Zhu et al. [28] proposed a new linear integral sliding mode control to enhance the tracking performance. Then, they applied a radial basis function (RBF) neural network to eliminate the chattering phenomenon created by the integral sliding mode controller. The proposed RBF neural network reduced chattering created by the integral sliding mode control. Long et al. [29] proposed a compound position control method, which combines a sliding mode control with a cerebellar model articulation controller neural network. To improve performance of sliding mode control, a genetic algorithm was applied to determine the optimal sliding surface and sliding control law. The simulation results demonstrated the effectiveness of the proposed control
that improved the trajectory tracking scheme on an exoskeleton robot. Wang et al. [30] proposed a sliding mode control of the electro-hydraulic servo system to track the desired trajectory tracking. It was observed that the electro-hydraulic servo system of the exoskeleton robot improved uncertainties and load disturbance by combining the sliding mode controller and RBF neural network. A control scheme tuned with a genetic algorithm applied for shoulder rehabilitation robot control improves tracking performance [31]. Mushage et al. [32] proposed a compound high-gain state observer and a fuzzy neural network for state vector and nonlinear dynamic estimation.

The proposed control method was applied on a 5-DOFs upper limb exoskeleton robot, which can track the desired trajectory appropriately. However, the main limitation of the fuzzy control method is to select/choose fuzzy rules, which need to be selected appropriately for an exoskeleton robot. The main drawback of the proposed control method is the high control input. Ahmed et al. [33] proposed a fractional-order nonsingular fast terminal sliding mode control for the lower-limb robotic exoskeleton in the existence of external disturbances and uncertainties [34]. The main advantage of the proposed control method is that it can control the exoskeleton robot without relying on the accurate dynamic model of that robot. However, the proposed control method created high control input. Achili et al. [35] proposed an adaptive observer-based controller both on a Multi-layer perceptron neural network (MLPNN) and a sliding mode method for control of a wearable robot. The MLPNN, selected for its features of estimation, has been applied to identify the unknown dynamic. The proposed research validated the control method in terms of trajectory tracking both in simulation and experimentation. Han et al. [36] proposed model-free adaptive nonsingular fast terminal sliding mode control, which includes three parts: the intelligent PI controller, time delay estimation, and adaptive sliding compensator. By applying the proposed control method, tracking error converged to zero in finite time [37]. Mefoued [38] designed an
adaptive MLPNN which does not require the dynamic model of the system. Rahman et al. [39] applied a sliding mode control method on a 7-DOF exoskeleton robot. Experimental results verified that SMC effectively maneuvers an exoskeleton robot to track the desired trajectory. Later on, Brahim et al. [40] proposed a new control scheme based on human upper-limb inverse kinematics to improve the trajectory tracking performance in Cartesian space. All the works mentioned above, however, lack the essential control features such as robustness or convergence of trajectory tracking error to zero in finite time. Therefore, by observing these problems, we decided to design a novel control method that includes all the mentioned advantages.

2.1.3 Control of robot manipulator

SMC is a powerful controller for robustness and trajectory tracking [41-46]. Xiong et al. [47] introduced distributed SMC under the quantization process. To use digital communication, a quantizer is produced on the sensor system [48]. For the sensor system, an integral SMS is used on the basis of the filtered signal. Simulation results verified the improved trajectory tracking performance of the proposed controller. Herrera et al. [49] used the Alpeter method and SMC to produce a dynamic SMC. A comparison of the suggested method and SMC illustrated the advantages of the proposed control. The proposed controller reduced chattering. Yu et al. [50] proposed a new control scheme for the piezoelectric actuator to obtain suitable tracking performance. A particle swarm algorithm was used for the identification of nonlinear model parameters. The proposed structure, SMC, and feedforward methods are applied using the Bouc-Wen inverse algorithm to improve the position tracking performance. Wang et al. [51] introduced incremental nonsingular SMC for nonlinear systems regarding sudden actuator fault, external perturbations, and model uncertainties. This scheme does not include singularity [52] because it is free from any negative fractional order. The simulation result illustrates that the proposed scheme
is robust against actuator faults compared to Li et al. [53] proposed a novel asynchronous dynamic output feedback SMC method for a singular markovian jump system and considered the problem of asynchronous output feedback SMC design.

Zheng et al. [54] introduced a fuzzy SMC approach to control the robot with perturbations. The complex dynamic model of the robot has been considered by using perturbations. New fuzzy SMC is proposed according to SMC and fuzzy control combination. A deep learning method is applied to achieve a precise dynamic model experimentally. Deep learning estimates the dynamic model perfectly. Experimental results on KUKA robot verified the performance of the intelligent fuzzy SMC approach in terms of tracking performance. Jing et al. [55] introduced a novel adaptive SMC to perturbation rejection method and applied it to the robotic manipulator. Some modifications based on tracking error were implemented by applying certain functions to guarantee the steady-state and the transient performance of robotic arms. First, a nonsingular SMS is implemented by applying the modified error. Then, to stabilize the system, a terminal SMC was used. Next, a new sliding mode observer was applied to suppress external disturbances and compensate for the uncertainties. An adaptive algorithm generated from equivalent control was proposed for considering lumped disturbance [55]. The adaptive SMC was designed by Jing et al. in a combination of nonsingular terminal SMC, adaptive algorithm, and sliding mode disturbance observer. The performance of the designed controller is verified by different simulations. Ferrara et al. [56] introduced a controller for an industrial robot manipulator by using a switching method. Two cases are proposed in this controller: inverse dynamic and decentralized methods. The first one is suitable for improving the velocity and acceleration performance, and the second one is convenient for suitable compensate external perturbations. Therefore, the integral SMC is applied to approximate the unmodeled dynamic and compensate matched perturbations by correction of
error value. Yi and Zhai [57] considered an adaptive second-order fast nonsingular terminal sliding mode control when inertia uncertainties and external perturbations are applied to a robotic manipulator.

Chattering has been eliminated by using adaptive sliding mode control. A second-order fast nonsingular terminal SMC is applied to obtain desirable tracking and fast convergence and ensure robustness and system performance. It’s not required to use the upper bound by applying the adaptive algorithm. Xia et al. [58], to control uncertain systems with time delay, proposed robust SMC. The robust reaching control algorithm is used for sliding mode surface based on the linear matrix. Zhang and Yan [59], to control piezoelectric system, proposed an adaptive observer integral SMC. An adaptive observer is designed to suppress the noises by using a Dahl estimation approach. To achieve chattering elimination, fast convergence, and robustness, the parameter of the SMC is adaptively tuned. Che et al. [60] considered a singularity problem with input nonlinearity by proposing observer-based adaptive integral SMC and passivity analysis. Linear matrix inequalities problems were solved by using passivity conditions [60]. Then, a singular disturbance observer is implemented to estimate the design of adaptive law.

Also, to obtain the controller parameters of integral SMC, a set of the matrix was used. Erenturk [61] used two control systems, SMC and an optimized PID controller, to control a two-mass structure. A grey estimator is applied to optimize the proposed controller. Experimental results suitably verified the applied controller performance in terms of trajectory tracking. Jie et al. [62] proposed a novel SMC approach with terminal SMC and sliding disturbance observer for controlling a hydraulic robot manipulator. To converge the tracking error to zero, a terminal SMS is applied, which exhibits a faster speed than conventional SMC. The proposed control compared with SMC; however, no results on resultant control effort is given. The mentioned works in this
section mainly considered the four important phenomena by using SMC or compound control methods: high tracking performance, robustness, convergence to zero in finite time, and chattering reduction.
Chapter 3

Control of MEMS gyroscope

3.1 Dynamics of MEMS gyroscope

A schematic of a typical $z$-axis MEMS gyroscope is presented in Figure 3.1. The traditional MEMS vibratory gyroscope design involves sensing mechanisms, a proof mass suspended by springs, and an electrostatic actuation system for forcing an oscillatory motion and sensing the position and velocity of the proof mass [63]. The proof mass is mounted on a frame, which moves with a constant linear velocity, while the gyroscope rotates at a slowly changing angular velocity $\Omega$. The centrifugal forces $m\Omega^2_x x$ and $m\Omega^2_y y$ are supposed to be negligible due to small displacements $x$ and $y$. The Coriolis forces, $2m\Omega^*_z \dot{y}$ and $2m\Omega^*_z \dot{x}$ are generated in a direction perpendicular to the drive and rotational axes [63].

![Figure 3.1 Schematic of MEMS gyroscope](image)

The dynamics of the gyroscope is then governed by the following system of equations [63]:

$$m\ddot{x} + d_{xx}\dot{x} + d_{xy}\dot{y} + k_{xx} x + k_{xy} y = u_x + 2m\Omega^*_z \dot{y} \quad (3.1)$$
\[ m\ddot{y} + d_{x y}^* \dot{y} + d_{yy}^* \dot{y} + k_{xy}^* x + k_{yy}^* y = u_y^* - 2m\Omega_x^2 x \] (3.2)

In Eqs 1-2, \(x\) and \(y\) are coordinates with the origin at the center of the proof mass when no external force is applied. The coefficients \(k_{xy}^*\) and \(d_{xy}^*\) are the asymmetric spring and damping coefficients, respectively.

The spring constants of springs acting in the \(x\)- and \(y\)-directions, \(k_{xx}^*, k_{yy}^*\), and damping rates \(d_{xx}^*\) and \(d_{yy}^*\) are often known; however, they may have small unknown variations from their nominal values \([63]\), \(u_x^*\) and \(u_y^*\) are the control forces in the \(x\) and \(y\)-direction. The value of the proof mass \(m\) can be determined with high accuracy.

Eqs. (3.1), and (3.2) can be presented in the vector form as:

\[
\frac{\ddot{q}^*}{q_0} + \frac{D^*}{m\omega_0 q_0} \frac{\dot{q}^*}{q_0} + \frac{K_a}{m\omega_0 q_0} \frac{q^*}{q_0} = \frac{u^*}{m\omega_0 q_0} - 2 \frac{\Omega^*}{\omega_0} \frac{\dot{q}^*}{q_0} 
\] (3.3)

where

\[
q^* = \begin{bmatrix} x^* \\ y^* \end{bmatrix}, \quad u = \begin{bmatrix} u_x^* \\ u_y^* \end{bmatrix}, \quad \Omega = \begin{bmatrix} 0 & -\Omega_z^* \\ \Omega_z^* & 0 \end{bmatrix}, \quad D = \begin{bmatrix} d_{xx}^* & d_{xy}^* \\ d_{xy}^* & d_{yy}^* \end{bmatrix}, \quad K_a = \begin{bmatrix} k_{xx}^* & k_{xy}^* \\ k_{xy}^* & k_{yy}^* \end{bmatrix}
\]

non-dimensional parameters \([63]\) as follows:

\[
q = \frac{q^*}{q_0}, \quad d_{xy} = \frac{d_{xy}^*}{m\omega_0}, \quad \Omega_z = \frac{\Omega_z^*}{\omega_0}
\] (3.4)

\[
u = \frac{u_x^*}{m\omega_0 q_0}, \quad u_y = \frac{u_y^*}{m\omega_0 q_0}
\] (3.5)
\[
\omega_x = \sqrt{\frac{k_{xx}}{m\omega_0^2}}, \quad \omega_y = \sqrt{\frac{k_{yy}}{m\omega_0^2}}, \quad \omega_{xy} = \frac{k_{xy}}{m\omega_0^2}
\]

where \(q_0\) is the reference length and \(\omega_0\) is the natural frequency of each axis. Finally, the dynamic equations for a MEMS gyroscope are-

\[
\ddot{q} = -(D + 2\Omega)\dot{q} - K_b q + u + E
\]  

(3.6)

Where \(E(N)\) is an external disturbance, which dynamic model can be presented as-

\[
\ddot{q} = -Y\dot{q} - Pq + u + E
\]  

(3.7)

where \(Y = (D + 2\Omega)\) and \(P = K_b\). \(\Delta Y\) and \(\Delta P\) determine some uncertainties of parameter variations. Thus, Eq. (3.8) can be denoted as:

\[
\ddot{q} = -(Y + \Delta Y)\dot{q} - (P + \Delta P)q + u(t) + E
\]  

(3.8)

Where, \(q = [x, y]\), \(u = [u_x, u_y]\), \(\Omega = \begin{bmatrix} 0 & -\Omega_z \\ \Omega_z & 0 \end{bmatrix}\)

\[
D = \begin{bmatrix} d_{xx} & d_{xy} \\ d_{xy} & d_{yy} \end{bmatrix}, \quad K_b = \begin{bmatrix} \omega_x^2 & \omega_{xy} \\ \omega_{xy} & \omega_y^2 \end{bmatrix}
\]

we obtain,

\[
\ddot{q} = -(Y + \Delta Y)\dot{q} - (P + \Delta P)q + u(t) + E
\]  

(3.9)

The Eq. (9) can be shown as:

\[
\ddot{q} = -Y\dot{q} - Pq + u(t) + D(t)
\]  

(3.10)

where \(D(t)\) is as follows:
\[ D(t) = -\Delta Y \dot{q} - \Delta Pq + E \]  

(3.11)

### 3.2 Robust sliding mode control

Defining the tracking error as \( e(t) = q_d(t) - q(t) \), \( q_d \) is the desired trajectory tracking; one can write the sliding mode surface as

\[
s(t) = \dot{e}(t) + \gamma \int_0^t \left( \sin(e(\tau)) + e^\beta(\tau) \right) d\tau
\]

(3.12)

where \( \gamma \) is a positive constant and \( \beta \) is a positive integer.

Differentiating the sliding mode surface concerning time and using Eq. (3.10) yields

\[
\dot{s}(t) = \ddot{e}(t) + \gamma \left( \sin(e(t)) + e^\beta(t) \right)
\]

\[
= Y \ddot{q} + Pq - u(t) - D(t) + \ddot{q}_d \gamma \left( \sin(e(t)) + e^\beta(t) \right)
\]

(3.13)

The control effort is derived as the solution of \( \dot{s}(t) = 0 \) to achieve the desired performance under the nominal model. The equivalent control effort is defined as

\[
u_{eq}(t) = -\ddot{q}_d - Y \ddot{q} - Pq + D(t) - \gamma \left( \sin\left( e(t) \right) + e^\beta(t) \right)
\]

(3.14)

If unpredictable perturbations from the external disturbance or parameter variations occur, the equivalent control effort cannot guarantee favorable control performance. Thus, by designing an auxiliary control effort, the effect of unpredictable perturbations can be eliminated. For this purpose, stability analysis is performed. The Lyapunov function is defined as

\[
V(t) = \frac{1}{2} s^T(t)s(t)
\]

(3.15)

A sufficient stability condition for the control method is given by the requirement that the
Lyapunov function decreases at any time

\[ \dot{V}(t) = s^T(t)\dot{s}(t) < 0 , \quad s(t) \neq 0 \quad (3.16) \]

Substitute Eq. (3.13) into Eq. (3.16) generates

\[ \dot{V}(t) = s^T(t)(Y \dot{q} + Pq - u(t) - D(t) + \ddot{q}_d + \gamma(sin(e(t)) + e^\beta(t))) \quad (3.17) \]

The control input can be defined as:

\[ u(t) = u_{RSMC}(t) = u_{eq}(t) + u_s(t) \quad (3.18) \]

Substitute Eq. (3.18) into Eq. (3.17) produces

\[ \dot{V}(t) = s^T(t)(Y \dot{q} + Pq - u_{eq}(t) - u_s(t) - D(t) + \ddot{q}_d \]
\[ \quad + \gamma(sin(e(t)) + e^\beta(t))) \]

Substitute Eq. (3.14) into Eq. (3.19) produces

\[ \dot{V}(t) = s^T(t)(+Y \dot{q} + Pq - \ddot{q}_d - Y\dot{q} - Pq + D(t) - \gamma(sin(e(t)) + e^\beta(t)) \]
\[ \quad - u_s(t) - D(t) + \ddot{q}_d + \gamma(sin(e(t)) + e^\beta(t))) \quad (3.20) \]

Simplify Eq. (3.20) produces

\[ \dot{V}(t) = s^T(t)(-u_s(t)) \quad (3.21) \]

The \( u_s(t) \) can be defined as follows:

\[ u_s(t) = K_s s(t) \quad (3.22) \]

Where \( K_s = diag[K_{s1}, K_{s2}, \ldots, K_{sn}] \) is positive definite matrix and demonstrates reaching control gain.
By substituting Eq. (3.22) into Eq. (3.21), $\dot{V}(t) < 0$ will be observed.

### 3.3 New sliding mode control

It is well established that the essential and the most crucial part of SMC design is how to select SMS, which is provided to respond to desired control performance.

$$s(t) = \dot{e}(t) + \int_0^t (k_1 \text{sig}(e(t)) + k_2 \text{sig}(\dot{e}(t))) \, d\tau$$  \hspace{1cm} (3.23)

where

$$\text{sig}(e(t)) = |e(t)| \text{sign}(e(t))$$

$$\text{sig}(\dot{e}(t)) = |\dot{e}(t)| \text{sign}(\dot{e}(t))$$

The SMC includes two crucial cases: equivalent control and reaching control law.

To obtain the equivalent controller, the SMS should be enforced to zero ($\dot{s}(t) = 0$) as:

$$\dot{s}(t) = \ddot{e}(t) + k_1 \text{sig}(e(t)) + k_2 \text{sig}(\dot{e}(t)) = 0$$  \hspace{1cm} (3.24)

Substitute $\ddot{e}(t) = \ddot{q}_d - \ddot{q}$ in Eq. (3.24) generates

$$\ddot{q}_d - \ddot{q} + k_1 \text{sig}(e(t)) + k_2 \text{sig}(\dot{e}(t)) = 0$$  \hspace{1cm} (3.25)

Substitute Eq. (3.10) into Eq. (3.25) produces

$$\ddot{q}_d + Y\ddot{q} + Pq - u(t) - D(t) + k_1 \text{sig}(e(t)) + k_2 \text{sig}(\dot{e}(t)) = 0$$  \hspace{1cm} (3.26)

The equivalent control will be defined as:

$$u_{eq}(t) = \ddot{q}_d + Y\ddot{q} + Pq - D(t) + k_1 \text{sig}(e(t)) + k_2 \text{sig}(\dot{e}(t))$$  \hspace{1cm} (3.27)

When external perturbations apply to the system, the equivalent control is enabled to suppress those noises. Thus, a second control law should be defined to be robust against external perturbations. The conventional reaching control law, which has been used in several types of
research [64, 65], will be selected according to Eq. (3.28). Note that the reaching control is implemented in most cases due to its robustness and high tracking performance.

\[ u_s(t) = K_s s(t) \]  \hspace{1cm} (3.28)

Where \( K_s \) is the positive constant. The proposed control input shows as:

\[ u_{NSMC}(t) = u_{eq}(t) + u_s(t) \]  \hspace{1cm} (3.29)

The Lyapunov theory is a strong tool for proving the stability of the proposed controller as:

\[ V(t) = \frac{1}{2} s^T(t)s(t) \]  \hspace{1cm} (3.30)

Take derivative from Eq. (3.30) generates

\[ \dot{V}(t) = s^T(t)\dot{s}(t) < 0 \ , \ s(t) \neq 0 \]  \hspace{1cm} (3.31)

When Eq. (3.31) satisfy, the control system will be stable. Substitute Eq. (3.24) into Eq. (3.31) produces:

\[ \dot{V}(t) = s^T(t)(\ddot{e}(t) + k_1 sig(e(t)) + k_2 sig(\dot{e}(t))) \]  \hspace{1cm} (3.32)

The Eq. (3.32) arranges as:

\[ \dot{V}(t) = s^T(t)(\ddot{q}_d - \ddot{q} + k_1 sig(e(t)) + k_2 sig(\dot{e}(t))) \]  \hspace{1cm} (3.33)

Substitute Eq. (3.10) into Eq. (3.33) produces

\[ \dot{V}(t) = s^T(t)(\ddot{q}_d + Y\dot{q} + Pq - u(t) - D(t) + k_1 sig(e(t)) + k_2 sig(\dot{e}(t))) \]  \hspace{1cm} (3.34)

Substitute Eq. (3.29) into Eq. (3.34) generates
\[
\dot{V}(t) = s^T(t)(\ddot{q}_d + Y\ddot{q} + Pq - u_{eq}(t) - u_s(t) - D(t) + k_1\text{sig}(e(t)) + k_2\text{sig}(\dot{e}(t)))
\]  

(3.35)

Substitute Eq. (3.27) and Eq. (3.28) into Eq. (3.35) produces

\[
\dot{V}(t) = s^T(t)(\ddot{q}_d + Y\ddot{q} + Pq - \ddot{q}_d - Y\ddot{q} - Pq + D(t) - k_1\text{sig}(e(t)) - k_2\text{sig}(\dot{e}(t)) - K_s s(t) - D(t) + k_1\text{sig}(e(t)) + k_2\text{sig}(\dot{e}(t)))
\]

(3.36)

Simplify Eq. (3.36) produces

\[
\dot{V}(t) = s^T(t)(-K_s s(t))
\]

(3.37)

The Eq. (3.37) denotes as:

\[
\dot{V}(t) = -K_s s(t)^2
\]

(3.38)

The Eq. (3.38) satisfies \(\dot{V}(t) < 0\). Therefore, the proposed control method is stable.

### 3.4 Fractional sliding mode control

FSMC is popular because of its robustness against external disturbances. The fractional-order sliding mode surface can be defined as follows:

\[
s(t) = \dot{e}(t) + \lambda e(t) + \alpha D\mu e(t)
\]

(3.39)

where \(\alpha\) is a positive constant and \(\mu\) is a fractional order operator [66].

**Theorem 1:** The derivation of fractional function [66]:

\[
\frac{d}{dt} (D\mu e(t)) = D^1 D\mu e(t) = D^{\mu+1} e(t) = D\mu \dot{e}(t)
\]
The control system engineering can be considered as an important application of fractional order calculus. There are many definitions of fractional calculus, and they are used in various areas. The Grunwald-Letnikov fractional operator is well-known due to its myriad application in control system engineering.

The Grunwald-Letnikov fractional operator can be defined as follows [66]:

\[
aD_t^\mu = \lim_{h \to 0} \frac{1}{h^n} \sum_{r=0}^{[\frac{t-a}{h}]} (-1)^r \binom{n}{r} f(t - rh)
\]

(3.40)

Where \(a\) and \(t\) are the limits of the operator and \([t-a/h]\) is the integer part. \(n\) is the integer value that satisfies the condition \(n-1 < \mu < n\).

The value of the binomial coefficient is shown by

\[
\binom{n}{r} = \frac{\Gamma(n + 1)}{\Gamma(r + 1)\Gamma(n - r + 1)}
\]

(3.41)

The Gamma function utilized in Eq. (3.41) can be defined as follows:

\[
\Gamma(x) = \int_0^\infty t^{x-1}e^{-t} dt, \quad R(x) > 0
\]

(3.42)

This definition is significantly appropriate in obtaining a numerical solution of fractional differential equations.

The equivalent FSMC is obtained by taking derivative of Eq. (3.39) as follows:

\[
\dot{s}(t) = \ddot{e}(t) + \alpha D^{\mu+1} e(t) = \ddot{q}_d - \ddot{q} + \lambda \dot{e}(t) + \alpha D^{\mu+1} e(t)
\]

(3.43)
Substitute Eq. (3.10) into Eq. (3.43) produces

\[ \dot{s}(t) = \ddot{q}_d + Y \dot{q} + Pq - u(t) - D(t) + \lambda \dot{e}(t) + \alpha D^{\mu+1} e(t) \]  \hspace{1cm} (3.44)

Therefore, the equivalent control can be defined (\(\dot{s}(t) = 0\)):

\[ u_{eq}(t) = \ddot{q}_d + Y \dot{q} + Pq - D(t) + \lambda \dot{e}(t) + \alpha D^{\mu+1} e(t) \]  \hspace{1cm} (3.45)

When external disturbances apply to a system, the equivalent control cannot ensure the effectiveness of the control performance. As a result of this, an auxiliary control effort needs to be designed in order to compensate for the effect of the external disturbances. The Lyapunov function can be chosen for this task as follows:

\[ V(t) = \frac{1}{2} s^T(t) s(t) \]  \hspace{1cm} (3.46)

To guarantee the stability of the control method, an appropriate condition should be selected as follows:

\[ \dot{V}(t) = s^T(t) \dot{s}(t) < 0, \quad s(t) \neq 0 \]  \hspace{1cm} (3.47)

To satisfy the reaching condition, the equivalent control \(u_{eq}(t)\) given in Eq. (3.45) is completed by a control term.

\[ u(t) = u_{FSMC}(t) = u_{eq}(t) + u_s(t) \]  \hspace{1cm} (3.48)

The Lyapunov theory is a strong tool for proving the stability of the proposed controller as:

\[ V(t) = \frac{1}{2} s^T(t) s(t) \]  \hspace{1cm} (3.49)

Take derivative from Eq. (3.49) generates
\[ \dot{V}(t) = s^T(t) \dot{s}(t) < 0, \quad s(t) \neq 0 \quad (3.50) \]

When the Eq. (3.50) satisfy, the control system will be stable. Substitute Eq. (3.44) into Eq. (3.50) produces:

\[ \dot{V}(t) = s^T(t)(\ddot{q}_d + Y\dot{q} + Pq - u(t) - D(t) + \lambda \dot{e}(t) + \alpha D^{\mu+1}e(t)) \quad (3.51) \]

Substitute Eq. (3.48) into Eq. (3.51) generates

\[ \dot{V}(t) = s^T(t)(\ddot{q}_d + Y\dot{q} + Pq - u_{eq}(t) - u_s(t) - D(t) + \lambda \dot{e}(t) + \alpha D^{\mu+1}e(t)) \quad (3.52) \]

Substitute Eq. (3.28) and Eq. (3.45) into Eq. (3.52) produces

\[ \dot{V}(t) = s^T(t)(\ddot{q}_d + Y\dot{q} + Pq - \ddot{q}_d - Y\dot{q} - Pq + D(t) - \lambda \dot{e}(t) - \alpha D^{\mu+1}e(t) \]
\[ \quad - K_s s(t) - D(t) + \lambda \dot{e}(t) + \alpha D^{\mu+1}e(t)) \quad (3.53) \]

Simplify Eq. (3.53) produces

\[ \dot{V}(t) = s^T(t)(-K_s s(t)) \quad (3.54) \]

The Eq. (3.54) denotes as:

\[ \dot{V}(t) = -K_s s(t)^2 \quad (3.55) \]

The Eq. (3.55) satisfies \( \dot{V}(t) < 0 \). Therefore, the proposed control method is stable.

### 3.5 Simulation results
Numerical simulations were performed to demonstrate the performance of the proposed controllers. The RSMC, NSMC, and FSMC parameters are chosen as $\gamma=5$, $\beta=4$, $K_1=10$, $K_2=10$, 

Figure 3.2 Position tracking of x-axis and y-axis under CSMC, RSMC, NSMC, and FSMC.
\( \lambda = 10, \alpha = 50, \) and \( \mu = 0.5 \) by trial and error to obtain suitable results. The sliding surface is selected as \( K_s = diag(10,10) \). The desired motion trajectory is determined by \( q_{dl} = \sin (4.17t) \), and
$q_{d2}=1.2\sin(5.11t)$. The initial values of the system are selected as $q_1(0) = 0.4$, $q_2(0) = 0.6$, $\dot{q}_1(0) = 0$ and $\dot{q}_2(0) = 0$. The initial parameters are selected by trial and error to improve tracking performance of the proposed control method in the x and y directions.

The parameters of the MEMS gyroscope are selected as [67]:

$$m = 1.8 \times 10^{-7} \text{kg} \quad k_{xy} = 12.779 \text{N/m} \quad d_{xy} = 3.6 \times 10^{-7} \text{Ns/m}$$

$$k_{xx} = 63.955 \text{N/m} \quad d_{xx} = 1.8 \times 10^{-6} \text{Ns/m}$$

$$k_{yy} = 95.92 \text{N/m} \quad d_{yy} = 1.8 \times 10^{-6} \text{Ns/m}$$

When the displacement range of the MEMS gyroscope in each axis is in the sub-micrometer level, it is convenient to choose $q_0=1 \mu\text{m}$ as the reference length [63]. When the $\omega_0$ is selected as 1 kHz, the common natural frequency of each axis of a MEMS gyroscope is in the kHz range. The unknown angular velocity is assumed as $\Omega_z=100 \text{rad/s}$ [63]. Therefore, the nondimensional values of the MEMS gyroscope parameters are chosen as [63]:

$$\omega_x^2 = 355.3, \quad \omega_y^2 = 532.9, \quad \omega_{xy} = 70.99, \quad d_{xx} = 0.01, \quad d_{yy} = 0.01, \quad d_{xy} = 0.002, \quad \Omega_z = 0.1$$

Figure 3.2 illustrates the MEMS gyroscope motion along the x and y axes. The trajectory tracking was performed using a CSMC, an RSMC, an NSMC, and an FSMC. It can be observed that the actual motion trajectory of the MEMS gyroscope is consistent with the desired reference trajectory, showing that the tracking performance of FSMC is better in comparison with CSMC, RSMC, and NSMC. The tracking errors corresponding to the trajectory tracking shown in Figure 3.2 are plotted in Figure 3.3. The results in Figure 3.3 also show that FSMC effectively reduces oscillation which was observed in CSMC. Moreover, the FSMC results in faster convergence (see Figure 3.3).
Figure 3.4. Velocity in x-axis and y-axis under CSMC, RSMC, NSMC and FSMC.
The velocities along $x$ and $y$-axes corresponding to the trajectory shown in Figure 3.2 are illustrated in Figure 3.4, whereas, Figure 3.5 demonstrates the control efforts of CSMC, RSMC,
NSMC, and FSMC, which the FSMC is smoother than CSMC and RSMC. Therefore, the oscillation phenomenon has been reduced in FSMC.

3.5.1 Robustness testing: random noise suppression

A robust controller is expected to suppress the external disturbances. In the simulation, we applied random noise (as an external disturbance, $D(t) = 0.5 \, \text{randn}(1,1)$) with a standard deviation of 0.05 to test the noise suppression ability of the proposed controller. Figure 3.6 shows the simulation results, where it is evident that FSMC can suppress the external disturbances.
Figure 3.6 Robustness verification of FSMC under random noise application.
Chapter 4

Control of an Exoskeleton Robot and a 2 DoFs Robot Manipulator

4.1 Dynamic model of an exoskeleton robot

The robot, as shown in Figure 4.1 is an exoskeleton type robot designed to be worn on the lateral side of the human upper limb. Mass and inertia properties of this robot are given in Appendix B.

Table 4.1 Workspace ETS-Marse [68]

<table>
<thead>
<tr>
<th>Joints</th>
<th>Motion</th>
<th>Range of Motion</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Shoulder joint horizontal flexion/extension</td>
<td>0°/180°</td>
</tr>
<tr>
<td>2</td>
<td>Shoulder joint vertical flexion/extension</td>
<td>180°/0°</td>
</tr>
<tr>
<td>3</td>
<td>Shoulder joint internal/external rotation</td>
<td>90°/90°</td>
</tr>
<tr>
<td>4</td>
<td>Elbow joint flexion/extension</td>
<td>145°/0°</td>
</tr>
<tr>
<td>5</td>
<td>Forearm joint pronation/supination</td>
<td>90°/90°</td>
</tr>
<tr>
<td>6</td>
<td>Wrist joint ulnar/radial deviation</td>
<td>30°/20°</td>
</tr>
<tr>
<td>7</td>
<td>Wrist joint flexion/extension</td>
<td>60°/50°</td>
</tr>
</tbody>
</table>

Figure 4.1 Reference frames of exoskeleton robot [68].
The key design features of exoskeleton robot include convenient power/weight ratio, easy fitting and removal, low weight, and capability of compensating for gravity. DH-parameters and Table 4.2. DH parameters [68].

<table>
<thead>
<tr>
<th>Joint (i)</th>
<th>Joint Name</th>
<th>$a_{i-1}$ (Link twist)</th>
<th>$a_i$ (Link length)</th>
<th>$d_i$ (Link offset)</th>
<th>$q_i$ (Joint variable)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Abduction/adduction</td>
<td>0</td>
<td>0</td>
<td>$L_0$</td>
<td>$q_1$</td>
</tr>
<tr>
<td>2</td>
<td>Vertical Flexion/extension</td>
<td>$\pi/2$</td>
<td>0</td>
<td>0</td>
<td>$q_2 + \pi/2$</td>
</tr>
<tr>
<td>3</td>
<td>Internal/external rotation</td>
<td>$\pi/2$</td>
<td>0</td>
<td>$L_2$</td>
<td>$q_3$</td>
</tr>
<tr>
<td>4</td>
<td>Elbow Flexion/extension</td>
<td>$-\pi/2$</td>
<td>0</td>
<td>0</td>
<td>$q_4$</td>
</tr>
<tr>
<td>5</td>
<td>Pronation/Supination</td>
<td>$\pi/2$</td>
<td>0</td>
<td>$L_4$</td>
<td>$q_5$</td>
</tr>
<tr>
<td>6</td>
<td>Wrist Radial/ulnar deviation</td>
<td>$-\pi/2$</td>
<td>0</td>
<td>0</td>
<td>$q_6 - \pi/2$</td>
</tr>
<tr>
<td>7</td>
<td>Wrist Flexion/Extension</td>
<td>$-\pi/2$</td>
<td>0</td>
<td>0</td>
<td>$q_7$</td>
</tr>
</tbody>
</table>

workspace is shown in Table 4.1 and Table 4.2, respectively.

The exoskeleton robot can perform passive therapeutic motion (i.e., completely hold and support the subject's upper limb provide therapeutic motion), active assistive motion (where subject actively participates in the therapeutic sessions and the robot assist the subject when it needs). The characteristics of the exoskeleton robot and its dynamic model are completely outlined in [69-72], which can be summarized as:

$$M(\theta)\ddot{\theta} + C(\theta, \dot{\theta})\dot{\theta} + G(\theta) + F(\theta, \dot{\theta}) = \tau$$ (4.1)

Where $q, \dot{q}, \ddot{q} \in R^{7 \times 1}$ illustrates the position, velocity, and acceleration of the joints, respectively. Also, in the dynamic model of the 7DoFs robot manipulator, $M(q) \in R^{7 \times 7}$ represented as the inertia matrix, $C(q, \dot{q}) \in R^{7 \times 1}$ known as the vector of centrifugal and
Coriolis forces, $G(q) \in R^{7 \times 1}$ is a gravitational vector, and $\tau \in R^{7 \times 1}$ the joint torques.

Eq. (4.1) can be shown as:

$$\ddot{\theta} = -P\dot{\theta} - QG - QF + u(t)$$ (4.2)

Where, $P = M^{-1}(\theta)C(\theta, \dot{\theta}) = M^{-1}(\theta)$, and $u(t) = Q\tau$.

4.2 Dynamic model of a 2 DoFs robot manipulator

Robotics manipulator is widely applicable in different fields such as industrial robots, biorobotics, and aerospace robots. The mechanism of the proposed two degrees of freedom (2 DoFs) robot manipulator is illustrated in Figure 4.2.

Figure 4.3 shows the schematic of the robotic manipulator. The dynamic modeling of a 2DoFs robot arm is as follows [76]:

$$M(q)q\ddot{q} + N(q, \dot{q})q\dot{q} + G(q) = \tau$$ (4.3)

Where $q, \dot{q}, \ddot{q} \in R^{2 \times 1}$ illustrates the position, velocity, and acceleration of the joints, respectively. Also, in the dynamic model of the 2DoFs robot manipulator, $M(q) \in R^{2 \times 2}$ represented as the inertia matrix, $N(q, \dot{q}) \in R^{2 \times 1}$ known as the vector of centrifugal and Coriolis forces, $G(q) \in R^{2 \times 1}$ is a gravitational vector, and $\tau \in R^{2 \times 1}$ the joint torques. $M(q), N(q, \dot{q}), G(q)$ and are provided in Appendix A.
Figure 4.2 Robot manipulator.

Figure 4.3 Structure of robot manipulator.

Eq. (4.3) can be shown as:

$$\ddot{\theta} = -P \dot{\theta} - QG - QF + u(t)$$  \hspace{1cm} (4.4)

Where, \( P = M^{-1}(\theta)N(\theta, \dot{\theta}) \), \( = M^{-1}(\theta) \), and \( u(t) = Q\tau \).
4.3 Control of an Exoskeleton robot and a 2 DoFs robot manipulator

4.3.1 Robust sliding mode control (RSMC)

Complex systems always need a stable control system to compensate unmodeled dynamic uncertainties and robust against external disturbances. By using a sliding mode controller (SMC), the system states can be guaranteed to reach a sliding mode switching surface in finite-time and converge to the origin in finite time.

The proposed robust sliding mode switching function can be defined as follows:

\[ s(t) = \dot{e}(t) + \gamma \int_0^t (\sin(e(\tau)) + e^\beta(\tau))d\tau \] (4.5)

By using a robust sliding mode switching function, the tracking error converges to zero in finite time.

The gain parameters of RSMC are known as \( \gamma \) and \( \beta \) is the fractional order operator. The tracking error can be shown as:

\[ e(t) = \theta_d - \theta \] (4.6)

where \( \theta_d \) is the desired trajectory. The equivalent control can be obtained as:

\[ \dot{s}(t) = \ddot{e}(t) + \gamma (sin(e(t)) + e^\beta(t)) = \ddot{\theta}_d - \ddot{\theta} + \gamma (sin(e(t)) + e^\beta(t)) \] (4.7)

The Eq. (4.8) can be obtained by substituting Eq. (4.2) into Eq. (4.7)

\[ \dot{s}(t) = \ddot{\theta}_d + P\dot{\theta} + QG + QF - u(t) + \gamma (sin(e(t)) + e^\beta(t)) \] (4.8)

The control effort is derived as the solution of \( \dot{s}(t) = 0 \).

The control effort can be obtained as:
\[ u_{eq}(t) = \ddot{\theta}_d + P\dot{\theta} + QG + QF + \gamma(sin(e(t)) + e^\beta(t)) \]  \hfill (4.9)

The equivalent control effort cannot guarantee the desired performance because unpredictable perturbations from external disturbances or parameter variations occur. Consequently, a second controller should be added to suppress the effect of external disturbances. The Lyapunov function can be selected for this issue as:

\[ V(t) = \frac{1}{2} s^T(t)s(t) \]  \hfill (4.10)

Stability condition can be defined as [73-75]:

\[ \dot{V}(t) = s^T(t)\dot{s}(t) < 0, \quad s(t) \neq 0 \]  \hfill (4.11)

The control scheme can be defined as:

\[ u(t) = u_{RSMC}(t) = u_{eq}(t) + u_s(t) \]  \hfill (4.12)

To obtain the reaching control law \( u_\alpha(t) \) [75], Eq. (4.11) is shown as follows:

\[ \dot{V}(t) = s^T(\ddot{\theta}_d - \ddot{\theta} + \gamma(sin(e(t)) + e^\beta(t))) \]  \hfill (4.13)

Substitute Eq. (4.2) into Eq. (4.13) produces

\[ \dot{V}(t) = s^T(\ddot{\theta}_d + P\dot{\theta} + QG + QF - u(t) + \gamma(sin(e(t)) + e^\beta(t))) \]  \hfill (4.14)

By substituting Eq. (4.8) into Eq. (4.14), it can be shown as:

\[ \dot{V}(t) = s^T(\ddot{\theta}_d + P\dot{\theta} + QG + QF - u_{eq}(t) - u_s(t) + \gamma(sin(e(t)) + e^\beta(t))) \]  \hfill (4.15)

By substituting Eq. (4.9) into Eq. (4.15), it can be shown as

\[ \dot{V}(t) = s^T(\ddot{\theta}_d + P\dot{\theta} + QG + QF - \ddot{\theta}_d - P\dot{\theta} - QG - QF \]
\[-\gamma (\sin(e(t)) + e^\beta(t)) - u_s(t) + \gamma (\sin(e(t)) + e^\beta(t))\]  (4.16)

Simplify Eq. (4.16) generates

\[\dot{V}(t) = s^T(-u_s(t))\]  (4.17)

The reaching control can be chosen as:

\[u_s(t) = K_s s(t)\]  (4.18)

Where \(K_s\) is a positive constant. Substitute Eq. (4.18) into Eq. (4.17) produces

\[\dot{V}(t) = s^T(-K_s s(t)) = -K_s s^2(t) < 0\]  (4.19)

Consequently, it can be observed from Eq. (4.19) that \(\dot{V}(t) < 0\).

4.3.2 New sliding mode control

The sliding mode surface can be defined as:

\[s(t) = \dot{e}(t) + \int_0^t (k_1 \text{sig}(e(t)) + k_2 \text{sig}(\dot{e}(t))) \, d\tau\]  (4.20)

where

\[\text{sig}(e(t)) = |e(t)|\text{sign}(e(t))\]

\[\text{sig}(\dot{e}(t)) = |\dot{e}(t)|\text{sign}(\dot{e}(t))\]

The SMC contains two parts: equivalent control and reaching control law.

The SMS should be enforced to zero \((\dot{s}(t) = 0)\) to obtain the equivalent controller as:

\[\dot{s}(t) = \ddot{e}(t) + k_1 \text{sig}(e(t)) + k_2 \text{sig}(\dot{e}(t)) = 0\]  (4.21)

Substitute \(\ddot{e}(t) = \ddot{\theta}_d - \ddot{\theta}\) in Eq. (4.21) generates
\[
\ddot{\theta}_d - \ddot{\theta} + k_1 \text{sig}(e(t)) + k_2 \text{sig}(\dot{e}(t)) = 0 \tag{4.22}
\]

Substitute Eq. (4.2) into Eq. (4.22) produces

\[
\ddot{\theta}_d + P\dot{\theta} + QG + QF - u(t) + k_1 \text{sig}(e(t)) + k_2 \text{sig}(\dot{e}(t)) = 0 \tag{4.23}
\]

The equivalent control will be defined as:

\[
u_{eq}(t) = \ddot{\theta}_d + P\dot{\theta} + QG + QF + k_1 \text{sig}(e(t)) + k_2 \text{sig}(\dot{e}(t)) \tag{4.24}
\]

When external perturbations apply to the system, the equivalent control is enabled to suppress those noises. Thus, a second control law should be defined to be robust against external perturbations. The conventional reaching control law, which has been used in several types of research, will be selected according to Eq. (4.25). The reasons why reaching control is implemented in most cases are its robustness and high tracking performance.

\[
u_s(t) = K_s s(t) \tag{4.25}
\]

Where \(K_s\) is a positive constant. The proposed control input shows as:

\[
u_{NSMC}(t) = u_{eq}(t) + u_s(t) \tag{4.26}
\]

The Lyapunov theory is a strong tool for proving the stability of the proposed controller as:

\[
V(t) = \frac{1}{2} s^T(t)s(t) \tag{4.27}
\]

Take derivative from Eq. (4.27) generates (stability condition)

\[
\dot{V}(t) = s^T(t)\dot{s}(t) < 0 , \quad s(t) \neq 0 \tag{4.28}
\]

When the Eq. (4.28) satisfy, the control system will be stable. Substitute Eq. (4.21) into Eq.
(4.28) produces:

\[ \dot{V}(t) = s^T(t)(\ddot{e}(t) + k_1\text{sig}(e(t)) + k_2\text{sig}(\dot{e}(t))) \]  

(4.29)

The Eq. (4.29) arranges as:

\[ \dot{V}(t) = s^T(t)(\ddot{\theta}_d - \ddot{\theta} + k_1\text{sig}(e(t)) + k_2\text{sig}(\dot{e}(t))) \]  

(4.30)

Substitute Eq. (4.2) into Eq. (4.30) produces

\[ \dot{V}(t) = s^T(t)(\ddot{\theta}_d + P\dot{\theta} + QG + QF - u(t) + k_1\text{sig}(e(t)) + k_2\text{sig}(\dot{e}(t))) \]  

(4.31)

Substitute Eq. (4.26) into Eq. (4.31) generates

\[ \dot{V}(t) = s^T(t)(\ddot{\theta}_d + P\dot{\theta} + QG + QF - u_{eq}(t) - u_s(t) + k_1\text{sig}(e(t)) + k_2\text{sig}(\dot{e}(t))) \]  

(4.32)

Substitute Eq. (4.24) and Eq. (4.25) into Eq. (4.32) produces

\[ \dot{V}(t) = s^T(t)(\ddot{\theta}_d + P\dot{\theta} + QG + QF - \ddot{\theta}_d - P\dot{\theta} - QG - QF - k_1\text{sig}(e(t)) \]  

\[ - k_2\text{sig}(\dot{e}(t)) - K_s\dot{s}(t) + k_1\text{sig}(e(t)) + k_2\text{sig}(\dot{e}(t))) \]  

(4.33)

Simplify Eq. (4.33) produces

\[ \dot{V}(t) = s^T(t)(-K_s\dot{s}(t)) \]  

(4.34)

The Eq. (4.34) denotes as:

\[ \dot{V}(t) = -K_s\dot{s}(t)^2 \]  

(4.35)

The Eq. (4.35) satisfies \( \dot{V}(t) < 0 \). Therefore, the proposed control method is stable.
4.3.3 Fractional sliding mode control

FSMC is popular because of its robustness against external disturbances. The fractional-order sliding mode surface can be defined as follows:

\[ s(t) = \dot{e}(t) + \lambda e(t) + \alpha D^\mu e(t) \]  

(4.36)

where \( \alpha \) is a positive constant and \( \mu \) is a fractional order operator [66].

**Theorem 1:** The derivation of fractional function [66]:

\[ \frac{d}{dt}(D^\mu e(t)) = D^1D^\mu e(t) = D^{\mu+1}e(t) = D^\mu \dot{e}(t) \]

The control system engineering can be considered as an important application of fractional order calculus.

There are many definitions of fractional calculus, and each of them has mostly been used in some specific area. The Grunwald-Letnikov fractional operator is well-known due to its myriad application in control system engineering.

The Grunwald-Letnikov fractional operator can be defined as follows [66]:

\[ aD_t^\mu = \lim_{h \to 0} \frac{1}{h^\mu} \sum_{r=0}^{[t-a/h]} (-1)^r \binom{n}{r} f(t - rh) \]

(4.37)

Where \( a \) and \( t \) are the limits of operator and \([t-a/h]\) is the integer part. \( n \) is the integer value which satisfies the condition \( n-1 < \mu < n \).

The value of the binomial coefficient is shown by
\[
\binom{n}{r} = \frac{\Gamma(n+1)}{\Gamma(r+1)\Gamma(n-r+1)}
\] 

(4.38)

The Gamma function utilized in Eq. (4.38) can be defined as follows:

\[
\Gamma(x) = \int_0^\infty t^{x-1}e^{-t} dt, \quad R(z) > 0
\]

(4.39)

This definition is significantly appropriate in obtaining a numerical solution of fractional differential equations.

The equivalent FSMC is obtained by taking derivative of Eq. (4.36) as follows:

\[
\dot{s}(t) = \ddot{\theta}(t) + \alpha D^{\mu+1}e(t) = \ddot{\theta}_d - \ddot{\theta} + \lambda \dot{e}(t) + \alpha D^{\mu+1}e(t)
\]

(4.40)

Substitute Eq. (4.2) into Eq. (4.40) produces

\[
\dot{s}(t) = \ddot{\theta}_d + P\ddot{\theta} + QG + QF - u(t) + \lambda \dot{e}(t) + \alpha D^{\mu+1}e(t)
\]

(4.41)

Therefore, the equivalent control can be defined (\(\dot{s}(t) = 0\)):

\[
u_{eq}(t) = \ddot{\theta}_d + P\ddot{\theta} + QG + QF + \lambda \dot{e}(t) + \alpha D^{\mu+1}e(t)
\]

(4.42)

When external disturbances apply on a system, the equivalent control cannot ensure the effectiveness of the control performance. As a result of this, auxiliary control effort needs to be designed in order to compensate for the effect of the external disturbances. The Lyapunov function can be chosen for this task as follows:

\[
V(t) = \frac{1}{2}s^T(t)s(t)
\]

(4.43)

In order to guarantee the stability of the control method, an appropriate condition should be
selected as follows:

\[ \dot{V}(t) = s^T(t) \dot{s}(t) < 0, \quad s(t) \neq 0 \tag{4.44} \]

In order to satisfy the reaching condition, the equivalent control \( u_{eq}(t) \) given in Eq. (4.42) is completed by a control term.

\[ u(t) = u_{FSMC}(t) = u_{eq}(t) + u_s(t) \tag{4.45} \]

The Lyapunov theory is a strong tool for proving the stability of the proposed controller as:

\[ V(t) = \frac{1}{2} s^T(t) s(t) \tag{4.46} \]

Take derivative from Eq. (4.46) generates

\[ \dot{V}(t) = s^T(t) \dot{s}(t) < 0, \quad s(t) \neq 0 \tag{4.47} \]

When the Eq. (4.47) satisfy, the control system will be stable. Substitute Eq. (4.41) into Eq. (4.47) produces:

\[ \dot{V}(t) = s^T(t)(\ddot{\theta}_d + P \dot{\theta} + QG + QF - u(t) + \lambda \dot{e}(t) + \alpha D^{\mu+1} e(t)) \tag{4.48} \]

Substitute Eq. (4.45) into Eq. (4.48) generates

\[ \dot{V}(t) = s^T(t)(\ddot{\theta}_d + P \dot{\theta} + QG + QF - u_{eq}(t) - u_s(t) + \lambda \dot{e}(t) + \alpha D^{\mu+1} e(t)) \tag{4.49} \]

Substitute Eq. (4.25) and Eq. (4.42) into Eq. (4.49) produces

\[ \dot{V}(t) = s^T(t)(\ddot{\theta}_d + P \dot{\theta} + QG + QF - \ddot{\theta}_d - P \dot{\theta} - QG - QF - \lambda \dot{e}(t) \]
\[ - \alpha D^{\mu+1} e(t) - K_s s(t) + \lambda \dot{e}(t) + \alpha D^{\mu+1} e(t)) \tag{4.50} \]

Simplify Eq. (4.50) produces
\[ \dot{V}(t) = s^T(t)(-K_s s(t)) \]  

(4.51)

The Eq. (4.51) denotes as:

\[ \dot{V}(t) = -K_s s(t)^2 \]  

(4.52)

The Eq. (4.52) satisfies \( \dot{V}(t) < 0 \). Therefore, the proposed control method is stable.

4.4 Simulation results

4.4.1 Exoskeleton robot

The MATLAB software was used to simulate the proposed control methods (solver used: ode45).

*Simulation Parameters:*

The NSMC parameters are: \( K_1 = 1000 \) and \( K_2 = 1000 \),

The FSMC parameters are: \( \lambda = 10, \alpha = 15 \), and \( \mu = 0.5 \), and \( K_s = 40 \).

The parameters are chosen by trial and error to obtain suitable results.

Figure 4.4 illustrates the trajectory tracking of the robot joints under CSMC, NSMC, and FSMC. The tracking errors of joints corresponding to the trajectories shown in Fig.4.4 are plotted in Figure 4.5.
Figure 4.4 Position tracking of joints under CSMC, NSMC, and FSMC.
Figure 4.5 Position tracking error of joints under CSMC, NSMC, and FSMC.
Figure 4.6 Velocity of joints under CSMC, NSMC, and FSMC.
Figure 4.7 Control effort using CSMC, NSMC, and FSMC.
Figure 4.8 Robustness verification of FSMC.
Figure 4.6 illustrates the joints velocities corresponding to the trajectories shown in Figure 4.4. Simulation results in Figure 4.5 demonstrated better trajectory tracking performance of FSMC compared to other controllers. To check the robustness of the FSMC, joint resistance to motion in the form of 10 percent of joint torque, 20 percent of joint torque, and 30 percent of joint torque are applied (Figure 4.8) to the exoskeleton robot. The simulation results are plotted in Figure 4.8, where it is observed that the FSMC can effectively overcome that artificially induced joint resistance.

4.4.2 A 2DoFs robot manipulator

Simulation Parameters:

For simulation, the robot structure (Figure 4.3) properties are chosen as $L_1$=320mm, $L_2$=360mm, $m_1$=386 gr, and $m_2$=722 gr.

The NSMC parameters are $K_1$=10000 and $K_2$=10000, and

The FSMC parameters are chosen as $\lambda$=100, $\alpha$=15, and $\mu$=0.5, and $K_s$ =50

The control parameters are selected by trial and error to obtain suitable results.

When a robot encounters chattering, it makes the robot unstable.
Figure 4.9 illustrates the trajectory tracking of the robot joints under CSMC, NSMC, and FSMC. The tracking errors of joints corresponding to the trajectories shown in Figure 4.9 are plotted in Figure 4.10. Figure 4.11 illustrates the joints velocities corresponding to the trajectories shown in Figure 4.9, whereas Figure 4.12 presents the control efforts. Simulation results in Figure 4.10 demonstrated better trajectory tracking performance of FSMC compared to other controllers. To check the robustness of the FSMC, joint resistance to motion in the form of 10 percent of joint torque, 20 percent of joint torque, and 30 percent of joint torque are applied (Figure 4.13) to the robot. The simulation results are plotted in Figure 4.13, where it is observed that the FSMC can effectively overcome that artificially induced joint resistance.
Figure 4.10 Tracking error of joints under CSMC, NSMC and FSMC.

Figure 4.11 Velocity of joints under CSMC, NSMC and FSMC.
Figure 4.12 Control effort of CSMC, NSMC and FSMC.
4.5 Experimental Results with New sliding mode control (NSMC).

In this research, only the proposed NSMC was implemented on a 2 DoFs robot manipulator.

4.5.1 Experimental Setup

The experimental setup used to implement the proposed NSMC on a 2 DoF robot manipulator is shown in Figure 4.14.

![Experimental Setup Diagram]

Figure 4.14 Experimental setup

The 2DoFs robot used in this research was powered by two Maxon motors (EC45) integrated with
harmonic drive. Figure 4.15 illustrates the control architecture of the system. The proposed controller runs in NI-PXIe (Figure 4.15, the sampling rate of 1.25 ms). As also seen in Figure 4.15, a low-level Proportional Integral (PI) controller to control the desired current runs at 50μs (Figure 4.15) inside the FPGA.
The feedback current signals measured from the motor drivers (at a sampling rate $1\text{ms}$) are also filtered with a second-order filter (sampling parameters: $\zeta=0.90$, and $\omega_0=3000$ rad/s) prior to being sent to the PI controller. Figure 4.16 shows the hardware of the 2 DoF robot.

### 4.5.2 Results

The robot structure properties are chosen as $L_1=320\text{mm}$, $L_2=360\text{mm}$, $m_1=386\text{ gr}$, and $m_2=722\text{ gr}$. The controller parameters are selected as $k_1 = \text{diag}\{580,580\}$, $k_2 = \text{diag}\{50,50\}$, $K_r = \text{diag}\{30,30\}$, $\mu_1 = \text{diag}\{40,40\}$, and $\mu_2 = \text{diag}\{40,40\}$.

Figure 4.17 shows the results of trajectory tracking under the CSMC and NSMC, and Figure 4.18 illustrates the corresponding tracking errors. It is evident from Fig. 4.18 that the proposed NSMC controller shows better tracking performance compared to CSMC. Figure 4.19 shows the control input signals under SMC and NSMC, where it is observed that NSMC exhibits no/very low chattering.
Figure 4.17 Trajectory Tracking of a 2DoFs Robot under SMC and NSMC
Figure 4.18 Tracking error of joints under SMC, and NSMC.
Figure 4.19 Control input signals under SMC and NSMC.
Chapter 5

Conclusion and Future Works

5.1 Conclusion

This research proposed three robust nonlinear controllers based on sliding mode control to reduce chattering, improve robustness, decrease trajectory tracking error, and accelerate faster convergence. The results are summarized below:

- The proposed sliding mode controllers, namely robust sliding mode control, new sliding mode control, and fractional sliding mode control, were applied on three different dynamic systems that include a MEMS gyroscope, an Exoskeleton robot, and a 2DoFs robot manipulator.

- Simulation results demonstrated that fractional sliding mode control performance (i.e., finite-time convergence, robustness, chattering reduction, and dynamic trajectory tracking) was better than the conventional sliding mode control, robust sliding mode control, and new sliding mode control.

- In the dynamic simulation, to simulate the external disturbance, random noises were applied on the MEMS gyroscope, whereas 10% to 30% joint torques were applied on the exoskeleton robot and the 2 DoFs robot manipulator. The simulation was carried out with the proposed fractional sliding mode control. Results demonstrated that fractional sliding mode control is robust against external disturbances.

- The fractional sliding mode control shows the convergence of error to zero in finite time in all three dynamic systems. For instance, in the case of the 2 DoF robot manipulator, the error was wholly converged to zero after 2 sec under fractional sliding mode control.
- Simulation results evidence that the proposed fractional sliding mode control significantly reduced the chattering compared to the other three controllers.

- The experiment was conducted with the proposed NSMC on a 2DoFs robot manipulator. The results show better tracking performance of the NSMC compared with CSMC.

5.2 Future Works
The future research works include experimentation validation of all the proposed controllers on different dynamic systems that includes but are not limited to MEMS, exoskeleton robots, and other robotic manipulators in different operating conditions.
Appendix A

\[ q = \begin{bmatrix} \theta_1 \\ \theta_2 \end{bmatrix} \]

\[ M(q) = \begin{bmatrix} (M_1 + M_2)L_1^2 + M_2L_2^2 + 2M_2L_1L_2\cos\theta_2 & M_2L_2^2 + M_2L_1L_2\cos\theta_2 \\ M_2L_2^2 + M_2L_1L_2\cos\theta_2 & M_2L_2^2 \end{bmatrix} \]

\[ N(q, \dot{q}) = \begin{bmatrix} -M_2L_1L_2\sin\theta_2(2\dot{\theta}_1\dot{\theta}_2 + \dot{\theta}_2^2) \\ -M_2L_1L_2\sin\theta_2\dot{\theta}_1\dot{\theta}_2 \end{bmatrix} \]

\[ G(q) = \begin{bmatrix} -(M_1 + M_2)gL_1\sin\theta_1 - M_2gL_2\sin(\theta_1 + \theta_2) \\ -M_2gL_2\sin(\theta_1 + \theta_2) \end{bmatrix} \]

\[ \tau = \begin{bmatrix} \tau_1 \\ \tau_2 \end{bmatrix} \]
Appendix B

Figure 5.1 Reference frames of exoskeleton robot [68].

Masses of links

\[ m_1 = 5.440530749; \quad m_2 = 3.681985727; \quad m_3 = 3.453863929; \quad m_4 = 1.248221; \]
\[ m_5 = 1.349766; \quad m_6 = 1.085; \quad m_7 = 0.22; \]

Link length

\[
\begin{align*}
\text{th11} &= \text{deg2rad}(-45); & \text{Fixed angle} \\
\text{th21} &= \text{deg2rad}(180); & \text{Fixed angle} \\
L12 &= 70/1000; & \text{in meter} \\
L21 &= 40/1000; \quad L22 = 220.388/1000; & \text{in meter, From CAD} \\
L0 &= 231.35/1000; \\
L34 &= 82.0452/1000; & \text{Fixed Length between Cuff position and Elbow axis} \\
L4 &= 297.928/1000; \quad L7 = 62.3626/1000; \\
\end{align*}
\]
Center of Gravity

\[
\begin{align*}
P_{c11} &= 1e^{-3} \cdot [-2.5239911e+01; -1.5121379e+02; -1.6240684e+02]; \\
P_{c22} &= 1e^{-3} \cdot [-3.4802404e-01; -1.5549869e+02; 1.8189489e+02]; \\
P_{c33} &= 1e^{-3} \cdot [-1.4635716e+00; 1.4629969e+02; -2.1562809e+01]; \\
P_{c44} &= 1e^{-3} \cdot [-5.7671125e+01; -1.4231862e+02; 4.0644131e+01]; \\
P_{c55} &= 1e^{-3} \cdot [-1.8162879e+01; 8.3354291e+01; -4.8300119e+01]; \\
P_{c66} &= 1e^{-3} \cdot [-5.5555846e-01; -9.2656899e+01; 3.3806189e+01]; \\
P_{c77} &= 1e^{-3} \cdot [2.3881007e+01; 0.0000000e+00; -8.0984229e+01];
\end{align*}
\]
Inertia tensor

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